## Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 4

HONEYWELL INTERNATIONAL INC Form 4 October 01, 2018 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HONEYWELL INTERNATIONAL Issuer Symbol INC Garrett Motion Inc. [GTX] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X\_\_ 10% Owner \_X\_ Other (specify Officer (give title 115 TABOR ROAD 10/01/2018 below) below) (2)(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MORRIS PLAINS, NJ 07950 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Ownership (Instr. 8) Owned Direct (D) Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common Stock, par  $J^{(1)(2)}$ 10/01/2018 74,070,852 D \$0 D 0 value \$0.001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. 6. Date Exercisable<br>onNumber Expiration Date<br>of (Month/Day/Year)<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|--|---------------------|--------------------|-------|--|---|---|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>       | Relationships |           |         |       |  |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|--|
| F   | Director      | 10% Owner | Officer | Other |  |  |  |  |
| HONEYWELL INTERNATIONAL INC                 |               |           |         |       |  |  |  |  |
| 115 TABOR ROAD                              |               | Х         |         | (2)   |  |  |  |  |
| MORRIS PLAINS, NJ 07950                     |               |           |         |       |  |  |  |  |
| Signatures                                  |               |           |         |       |  |  |  |  |
| /s/ Richard E. Kent, Vice President, Deputy |               |           |         |       |  |  |  |  |
| General                                     | 10/01/2018    |           |         |       |  |  |  |  |
| **Signature of Reporting Person             |               | Da        | te      |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On October 1, 2018, Honeywell International Inc. ("Honeywell") disposed of all 74,070,852 shares of Garrett Motion Inc., a Delaware corporation ("Garrett"), common stock, par value \$0.001 per share (the "Garrett Common Stock"), issued and outstanding by distributing

- (1) a pro rata dividend to Honeywell's stockholders one share of Garrett Common Stock for every ten shares of Honeywell common stock, par value \$1.00 per share held by each holder of record as of 5:00 p.m. New York City time on September 18, 2018, to effect the legal and structural separation of Garrett from Honeywell.
- (2) Because an employee of Honeywell was a director of Garrett, Honeywell was previously deemed to be a director by deputization.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.