HRG GROUP, INC.

Form 4 April 29, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HARBINGER CAPITAL PARTNERS MASTER FUND I, LTD.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

HRG GROUP, INC. [HRG]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ 10% Owner Officer (give title \_\_X\_\_ Other (specify below) below)

\*See Remarks

C/O INTERNATIONAL FUND SVS. (IRELAND) LT, 78 SIR JOHN ROGERSON'S QUAY

(Street)

4. If Amendment, Date Original

04/27/2015

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Form filed by One Reporting Person X Form filed by More than One Reporting

Person

Applicable Line)

DUBLIN 2, L2 00000

share)

(City)	(State)	(Zip) Tab	le I - Non-	Derivative S	ecurit	ies Acqui	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securitie ord Dispose (Instr. 3, 4	d of (I and 5) (A) or	0)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (par value \$0.01 per share)	04/27/2015		Code V	Amount 183,725	(D)	Price \$ 12.33 (1) (2)	29,484,666	D (3) (4) (5)	
Common Stock (par value \$0.01 per	04/28/2015		S	378,783	D	\$ 12.39 (2) (6)	29,105,883	D (3) (4) (5)	

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Common Stock (par value \$0.01 per share)	04/29/2015	S	186,001	D	\$ 12.68 (2) (7)	28,919,882	D (3) (4) (5)
Common Stock (par value \$0.01 per share)	04/27/2015	S	86,275	D	\$ 12.33 (2) (8)	3,054,122	D (9) (10)
Common Stock (par value \$0.01 per share)	04/28/2015	S	177,872	D	\$ 12.39 (2) (12)	2,876,250	D (9) (10)
Common Stock (par value \$0.01 per share)	04/29/2015	S	87,344	D	\$ 12.68 (2) (13)	2,788,906	D (9) (10)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration Da	ite	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration	m	or	
						Exercisable	Date		Number	
									of	
				Code V	$^{\prime}$ (A) (D)				Shares	

# **Reporting Owners**

Relationships

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owner Name / Address

Reporting Owners 2

		Director	10% Owner	Officer	Other
HARBINGER CAPITAL PARTN C/O INTERNATIONAL FUND S 78 SIR JOHN ROGERSON'S QU DUBLIN 2, L2 00000	VS. (IRELAND) LT		X		*See Remarks
HARBINGER CAPITAL PARTN 450 PARK AVENUE, 30TH FLO NEW YORK, NY 10022			X		*See Remarks
HARBINGER CAPITAL PARTN FUND, L.P. 450 PARK AVENUE, 30TH FLO NEW YORK, NY 10022			X		*See Remarks
HARBINGER CAPITAL PARTN LLC 450 PARK AVENUE, 30TH FLO NEW YORK, NY 10022	ERS SPECIAL SITUATIONS GP, OR		X		*See Remarks
HARBINGER HOLDINGS, LLC 450 PARK AVENUE, 30TH FLO NEW YORK, NY 10022	OR		X		*See Remarks
FALCONE PHILIP 450 PARK AVENUE, 30TH FLO NEW YORK, NY 10022	OR		X		*See Remarks
Signatures					
Harbinger Capital Partners Master Harbinger Holdings, LLC, Manage	r Fund I, Ltd. By: Harbinger Capital I er By: /s/ Philip Falcone	Partners LI	LC, By:		04/29/2015
	**Signature of Reporting Person				Date
Harbinger Capital Partners LLC Falcone	By: Harbinger Holdings, LLC, Manag	ger By: /s/ I	Philip		04/29/2015
	**Signature of Reporting Person				Date
Harbinger Capital Partners Special Situations Fund, L.P. By: Harbinger Capital Partners Special Situations GP, LLC By: Harbinger Holdings, LLC, Managing Member By: /s/ Philip Falcone					
	**Signature of Reporting Person				Date
Harbinger Capital Partners Specia Managing Member By: /s/ Philip I	d Situations GP, LLC By: Harbinger : Falcone	Holdings, l	LLC,		04/29/2015
	**Signature of Reporting Person				Date
Harbinger Holdings, LLC By: /s/	Philip Falcone				04/29/2015
	**Signature of Reporting Person				Date
/s/ Philip Falcone					04/29/2015
	**Signature of Reporting Person				Date

Signatures 3

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.25 to \$12.455, inclusive.
- The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, HRG Group, Inc. ("HRG") or a security holder of HRG full information regarding the number of Shares sold at each separate price within the range set forth on this line.
- (3) These Shares are owned directly by Harbinger Capital Partners Master Fund I, Ltd. (the "Master Fund"), which is a Reporting Person.
- These Shares may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger Capital Partners LLC ("Harbinger LLC"), the investment manager of the Master Fund; Harbinger Holdings, LLC ("Harbinger Holdings"), the manager of Harbinger LLC; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Master Fund.
- Each person listed in Footnote 4 disclaims beneficial ownership of the Shares except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such person is the beneficial owner of the Shares for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.30 to \$12.53, inclusive.
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.43 to \$12.78, inclusive.
- (8) The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.25 to \$12.455, inclusive.
- (9) These Shares are owned directly by Harbinger Capital Partners Special Situations Fund, L.P. (the "Special Situations Fund"), which is a Reporting Person.
- These Shares may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger

  (10) Capital Partners Special Situations GP, LLC ("HCPSS"), the general partner of the Special Situations Fund; Harbinger Holdings, the managing member of HCPSS; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Special Situations Fund.
- Each Reporting Person listed in Footnote 10 disclaims beneficial ownership of the Shares except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the Shares for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (12) The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.30 to \$12.53, inclusive.
- (13) The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$12.43 to \$12.78, inclusive.

#### **Remarks:**

(+) The persons listed herein may be deemed to be members of a "group" for purposes of the Securities Exchange Act of 1934 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.