

Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4

June 10, 2002

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FORM 4  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

[ ] Check box if no longer  
subject to Section 16. Form  
4 or Form 5 obligations may  
continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the  
Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person(1)                               |         |          | 2. Issuer Name and Ticker or Trading Symbol                                | 6. Re  |
|--|---------|----------|--|--|
| Dauch  | Richard | F.       | American Axle & Manufacturing Holdings, Inc.<br>(NYSE-AXL)                 |  |
| (Last)   | (First) | (Middle) |  |  |
| c/o American Axle & Manufacturing Holdings, Inc.<br>1840 Holbrook Avenue |         |          | 3. IRS Identification Number of Reporting Person, if an Entity (Voluntary) | 4. Statement for Month/Year                    |
| (Street)   |         |          |  |  |
| Detroit  | MI      | 48212    |  | 5. If Amendment, Date of Original (Month/Year) |
| (City)   | (State) | (Zip)    |  | 7. In (C) X F -- R                             |

\* If the form is filled by more than one reporting person, see instruction 4(b)(v).

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

| 1. Title of Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 3. Transaction Code |   | 4. Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4 and 5) |            |         |
|------------------------------------|---|---------------------|---|--|------------|---------|
|                                    |   | Code                | V | Amount   | (A) or (D) | Price   |
| Common Stock                       | 5/10/02                                 | S                   |   | 500  | D          | \$35.31 |
| Common Stock                       | 5/10/02                                 | S                   |   | 1,000  | D          | \$35.30 |
|                                    |   |                     |   |  |            |         |
|                                    |   |                     |   |  |            |         |
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FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA...  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transition Date<br>(Month/Day/Year) | 4. Transition Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date<br>(Month/Day/Year) |            |
|---|--|--|----------------------------------|--|-----|---|------------|
|   |  |  |                                  | Code (A)   | (D) | Date  | Expiration |
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| 9. Number of Derivative Securities Beneficially Owned at End of Month<br>(Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)<br>(Instr. 4) | 11. Nature of Indirect Beneficial Ownership<br>(Instr. 4) |
|---|---|---|
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Explanation of Responses:

|                               |         |
|-------------------------------|---------|
| /s/ Michael K. Simonte        | 6/10/02 |
| -----                         | -----   |
| Signature of Reporting Person | Date    |
| Attorney in fact              |         |

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

(Print or Type Responses)