Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4 April 10, 2002

FORM 4

[] Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of Holding Company Act of 1935 or Section 30(f) of the Investment Company Act

1. Name and	d Address of Rep Richard	porting Person(1	<pre>(1) 2. Issuer Name and Ticker or Trading Symbol</pre>					6. Re	
(Last)	(First)	(Middle)	3. IRS Identification Number of Reporting Person,			4. Statement for Month/Year			-
c/o American Axle & Manufacturing Holdings, Inc. 1840 Holbrook Avenue			if an Ent (Voluntar 		 3/02 				
	(Street)		- 		 5 	. If Amend Date of (Month/)	Origin	al	7. II ((
Detroit	MI	48212			İ				 -
(City)	(State)	(Zip)							I
* If the fo	orm is filled by	y more than one	reporting per	rson, see	e instru	ction 4(b)	(v).		
		TABLE I - NC	N-DERIVATIVE	SECURIT	IES ACQU	IRED, DIS	POSED O	 F, OR	BENEF
1. Title of Security (Instr. 3)		2.	Transaction 3. Transaction 4. Securities Acqui Date Code or Disposed of (of (D)		
		 	(Month/Day/ Year)	Code	 V	 Amount	(A) or (D)	 Pri	.ce
Common Stoc	ck		3/15/02	_		25,000		\$28.	00
			·		 		 		

Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

I	1	1			
i I	i I	I			i
 	' 		' - – – – – – – – –		

FORM 4 (CONTINUED)	TABLE II DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALL (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)							
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deriv- ative Security	(Month/ Day/ Year)	action Code Instr. 8)		Disposed of (D) (Instr. 3, 4, and 5)		Date (Month/Day/	
	1						- Exer-	-
	 					(D) 	cisable 	
Employee Stock Option (right to buy)	 \$24.15	 3/15/02 	 A 	 	 23,000 	 	 (1) 	 1/23/1
Employee Stock Option (right to buy)	 \$ 4.26 	 3/15/02 	 X 	 	 	 25,000 	 	 10/29/0
	I		l	I	I		I	
Derivative Securities Beneficially Owned at End of Month (Instr. 4)	0. Ownership Form of Derivative Security: Direct (D) or Indirect (Instr. 4)	1	11. Nature of Indirect Beneficial Ownership (Instr. 4)					
 126,790	D							

i

Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

Į į	

Explanation of Responses:

(1) In March 2002, the reporting person was granted an option to purchase 23,000 shares of common stock. The option vests in three annual installments beginning on January 23, 2003.

/s/ Michael K. Simonte	4/10/02
Signature of Reporting Person	Date
Attorney in fact	

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See $18\ U.S.C.\ 1001$ and $15\ U.S.C.\ 78ff(a)$.

Note File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

(Print or Type Responses)