# Edgar Filing: LILLEY DAVID - Form 4

Form 4 July 01, 20										
FORM	ЛД								PPROVAL	
	UNITED	STATES		RITIES A shington			COMMISSIO	N OMB Number:	3235-0287	
Check f if no lo subject Section Form 4	to <b>SIAIE</b> N 16.	MENT OI	F CHAN	NGES IN SECUI		ENEFICIAL OWNERSHIP OF FIES			January 31, 2005 average urs per . 0.5	
Form 5 obligati may co <i>See</i> Inst 1(b).	ntinue. Fried put	Public U	16(a) of th Itility Hol nvestment		. 0.0					
(Print or Type	e Responses)									
1. Name and Address of Reporting Person <u>*</u> LILLEY DAVID			2. Issuer Name <b>and</b> Ticker or Trading Symbol PUBLIC SERVICE ENTERPRISE				5. Relationship of Reporting Person(s) to Issuer			
					EG]		(Check all applicable)			
(Last) (First) (Middle) 80 PARK PLAZA			3. Date of Earliest Transaction (Month/Day/Year) 06/30/2009				_X_ Director 10% Owner Officer (give title Other (specify below) below)			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEWARK	K, NJ 07102						Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deeme Execution any (Month/Da			Date, if TransactionAcquired (A) or Secu Code Disposed of (D) Bene ny/Year) (Instr. 8) (Instr. 3, 4 and 5) Own Follo (A) Tran			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D) Price	(Instr. 3 and 4)			
Reminder: Re	eport on a separate line	e for each cl	ass of sec	urities bene	Perso inforn requir	ns who res nation cont ed to respo	or indirectly. spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and Expiration	7. Title and Am
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Date	Underlying Sec
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

number.

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(Instr. 3)	Price of (N Derivative Security		(Month/Day/Year)	(Instr. 8	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N S
Phantom Stock	<u>(1)</u>	06/30/2009		A <u>(2)</u>		381.9126		08/08/1988 <u>(3)</u>	08/08/1988 <u>(3)</u>	Common Stock	3

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>				
	Director	10% Owner	Officer	Other
LILLEY DAVID				
80 PARK PLAZA	Х			
NEWARK, NJ 07102				
Signatures				
By: A. Battista, as Attorney-in-	fact for D	).		
Lilley			06/30/20	009
<b>**</b> Signature of Reporting Pers	on		Date	
<b>Explanation of Re</b>	ses:			

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock converts to common stock on a 1-for-1 basis. Phantom stock represents the share equivalent of amount deferred under the Directors' Compensation Plan.
- (2) The shares of phantom stock become payable, in cash or common stock, at the election of the reporting person, upon the reporting person's termination of services as a director.
- (3) The derivative, phantom stock, does not have a corresponding exercisable date nor expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

CUSIP No. 62		579 2	20 02	Schedule 13D/A	Page	3	of	6 Pages			
	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY) Clara T. Rankin Williams										
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* <ul> <li>(a) o</li> <li>(b) p</li> </ul>										
3	SEC US	SE ON	ĪLY								
4	4 OO - See Item 3										
5	ITEM 2			LEGAL PROCEEDINGS IS	REQUIREI	) PUR	SUANT	ΤΟ			
6	o CITIZE USA	NSHI	P OR PLACE OF ORG	ANIZATION							
NUMBE		7	SOLE VOTING POW								
SHARES BENEFICIALL OWNED BY		8	SHARED VOTING PO	OWER							
EACH		H SOLE DISPOSITIVE POWER 9									

# REPORTING

PERSON 0

WITH SHARED DISPOSITIVE POWER

10

472,371

# AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11

472,371

# CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES $^*$

12

0

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13

29.6%

TYPE OF REPORTING PERSON\*

# 14

IN

CUSIP No.629579 20 02Schedule 13D/APage4of6 PagesThis Amendment No. 7 to Amended and Restated Schedule 13D (thisAmendment No. 7) is hereby filed to update

and supplement certain information with respect to beneficial ownership of shares of Class B Common Stock (the *Class B Common*) of NACCO Industries, Inc. (the *Company*) held by Rankin Associates I, L.P., a Delaware limited

partnership (the *Partnership*), that appeared in the Amended and Restated Schedule 13D filed by the Reporting Persons on March 27, 2002 (the *Initial Filing*), as amended on October 24, 2002 (the *Amendment No. 1*), as further amended on February 17, 2004 (the *Amendment No. 2*), as further amended on February 15, 2005 (the *Amendment No. 3*), as further amended on February 14, 2006 (the *Amendment No. 4*), as further amended on February 13, 2008 (the *Amendment No. 5*) and as further amended on February 16, 2010 (the *Amendment No. 6*) (collectively, the

*Filings* ). This Amendment No. 7 reflects the beneficial ownership of shares of Class B Common by the Reporting Persons. Capitalized items used herein but not defined herein have the meanings assigned to them in the Initial Filing. **Item 2. Identity and Background** 

(a) (c) Item 2 of the Filings is hereby amended as follows:

The following new Reporting Persons shall be added:

**Helen R. Butler**. Ms. Butler s address is 7575 Old Mill Road, P.O. Box 477, Gates Mills, Ohio 44040. She is employed as a part-time consultant.

**Clara T. Rankin Williams**. Mrs. Williams address is 1654 N. Dayton Street, Chicago, Illinois 60614. She is a jewelry designer.

### Item 5. Interest in Securities of the Issuer.

The information appearing under the heading Item 5. Interest in Securities of the Issuer, which appears in the Filings, is hereby amended as follows:

(a) (b) Pursuant to the Act and regulations thereunder, the Reporting Persons may be deemed as a group to have beneficial ownership of 472,371 shares of the Class B Common, the aggregate number of shares of Class B Common that are held by the Partnership, which amount represents approximately 29.6% of the Class B Common outstanding on December 31, 2010.

The following new Reporting Persons shall be added:

**Helen R. Butler.** Ms. Butler shares the power to dispose of the 472,371 shares of Class B Common held by the Partnership with the other General Partners and other Limited Partners. The 472,371 shares of Class B Common beneficially owned by Ms. Butler constitute approximately 29.6% of the Class B Common outstanding on December 31, 2010.

# CUSIP No.629579 20 02Schedule 13D/APage5of6 PagesClara T. Rankin Williams. Ms. Williams shares the power to dispose of the 472,371 shares of Class BCommon held by the Partnership with the other General Partners and other Limited Partners. The 472,371 shares ofClass B Common beneficially owned by Ms. Williams constitute approximately 29.6% of the Class B Commonoutstanding on December 31, 2010.

## Item 7. Material to be Filed As Exhibits

Item 7 of the Filings is hereby amended by adding the following:

Exhibit 7 Power of Attorney of Helen R. Butler and Clara T. Rankin Williams.

[Signatures begin on the next page.] [Remainder of page is left intentionally blank.] CUSIP No. 629579 20 02

### Schedule 13D/A **SIGNATURES**

6 Pages Page 6 of

After reasonable inquiry and to the best of our knowledge and belief, we certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2011

Name: Rankin Associates I, L.P.

By: Main Trust of Alfred M. Rankin created under the Agreement dated as of September 28, 2000, as supplemented, amended and restated, between Alfred M. Rankin, Jr., as trustee, and Alfred M. Rankin, Jr., creating a trust for the benefit of Alfred M. Rankin, Jr. (successor in interest to the Trust created by the Agreement, dated August 30, 1967, as supplemented, amended and restated, between National City Bank, as trustee, and Alfred M. Rankin, Jr., creating a trust for the benefit of Alfred M. Rankin, Jr.), as one of its General Partners

By: /s/ Alfred M. Rankin, Jr.

Alfred M. Rankin, Jr., as Trustee

### **REPORTING INDIVIDUALS**

By: /s/ Alfred M. Rankin, Jr.

Alfred M. Rankin, Jr., on behalf of himself, and as:

Attorney-in-Fact for Thomas T. Rankin\* Attorney-in-Fact for Claiborne R. Rankin\* Attorney-in-Fact for Roger F. Rankin\* Attorney-in-Fact for Bruce T. Rankin\* Attorney-in-Fact for Helen R. Butler\*\* Attorney-in-Fact for Clara T. Rankin Williams\*\*

- \* The power of attorney authorizing the above named individual to act on behalf of each of the foregoing Reporting Persons is included in Exhibit 6 of the Initial Filing.
- \*\* The power of attorney authorizing the above named individual to act on behalf of each of the foregoing Reporting Persons is included in Exhibit 7 of this Amendment No. 7.