Edgar Filing: Waldron John F. - Form 4

Waldron Joh Form 4											
February 27,	_										
FORM	14 UNITED S	STATES		RITIES A			NGE C	OMMISSION	OMB AF OMB Number:	PROVAL 3235-0287	
Check th			vv as	sington	, D.C. 20	JJ47			Expires:	January 31,	
if no longer subject to Section 16. Form 4 or Form 5 Eiled pursuant to 9				SECUE	RITIES			NERSHIP OF Estimated a burden hour response			
obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(a	a) of the P	Public Ut		ding Co	npan	y Act of	e Act of 1934, 1935 or Sectior 0	1		
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Waldron John F.								5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(3. Date of Earliest Transaction (Month/Day/Year) 02/25/2019					Director 10% Owner X Officer (give title Other (specify below) below) President & CEO, SPS			
				led(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MORRIS P	LAINS, NJ 07950)						Form filed by M Person	ore than One Rep	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secu	rities Acq	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		Date, if	Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or	Price	(Instr. 3 and 4)			
Common Stock	02/25/2019			М	5,967	А	\$ 154.21	16,903	D		
Common Stock	02/25/2019			F	2,773	D	\$ 154.21	14,130	D		
Common Stock								1,136	I	Held in 401(k) plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction of Derivative Code Securities		Derivative Expiration Date (Month/Day/Year) quired or posed of str. 3, 4,		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Units	<u>(1)</u>	02/25/2019		М		5,967 (2) (3)	02/25/2019	02/25/2019	Common Stock	5,967 (2) (3)

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Waldron John F. 115 TABOR ROAD MORRIS PLAINS, NJ 07950			President & CEO, SPS				
Signatures							

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Su Ping Lu for John F.	02/27/2019
Waldron	02/2/12019

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Instrument converts to common stock on a one-for-one basis.
- (2) Includes the reinvestment of dividend equivalents into 356 additional restricted stock units.

Resideo Technologies, Inc. spin-off from Honeywell which occurred on October 29, 2018.

All options and restricted stock units held by the Reporting Person have been adjusted to increase the number of shares and, in the case of the options, reduce the exercise price, in a manner subject to the adjustment provisions of the AdvanSix Inc. spin-off from Honeywell (3) which occurred on October 1, 2016; the Garrett Motion Inc. spin-off from Honeywell which occurred on October 1, 2018; and the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.