### Kapur Vimal Form 3 June 11, 2018 **FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### (Print or Type Responses)

| 1. Name and Address of Reporting<br>Person <u>*</u><br>Kapur Vimal |         |                 | 2. Date of Event Requiring<br>Statement<br>(Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol<br>HONEYWELL INTERNATIONAL INC [HON]   |  |  |  |  |
|--|---------|-----------------|---|--|--|--|--|--|
| (Last)   | (First) | (Middle)        | 05/31/2018  | 4. Relationship of Reporting<br>Person(s) to Issuer  |  |  | 5. If Amendment, Date Original Filed(Month/Day/Year) |  |
| 115 TABOR ROAD<br>(Street)<br>MORRIS PLAINS, NJ 07950              |         |                 |   | (Check all applicable)<br><u></u> Director <u>10%</u> Owner<br><u>Officer</u> <u>Other</u><br>(give title below) (specify below)<br>President & CEO, HBT |  | <ul> <li>6. Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting</li> <li>Person</li> <li> Form filed by More than One</li> <li>Reporting Person</li> </ul> |  |  |
| (City)   | (State) | (Zip)           | Table I - N   | Non-Derivative Securities Beneficially Owned   |  |  |  |  |
| 1.Title of Secu<br>(Instr. 4)                                      | rity    |                 | 2. Amount o<br>Beneficially<br>(Instr. 4)                   |  | 3.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 4. Nat<br>Owne<br>(Instr.  | 1  |  |
| Common St  | ock     |                 | 6,045   |  | D  | Â  |  |  |
| Common Stock   |         |                 | 152   |  | Ι  | Held in 401(k) plan  |  |  |
| Reminder: Rep<br>owned directly                                    | ·       | ate line for ea | ch class of securities benefic                              | <sup>ially</sup> S   | EC 1473 (7-02  | )  |  |  |

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4.          | 5.         | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4)                      | Expiration Date         | Securities Underlying  | Conversion  | Ownership  | Beneficial            |
|                                 | (Month/Day/Year)        | Derivative Security    | or Exercise | Form of    | Ownership             |
|                                 |                         | (Instr. 4)             | Price of    | Derivative | (Instr. 5)            |
|                                 |                         |                        | Derivative  | Security:  |                       |

January 31,

2005

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Expires:

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Estimated average burden hours per

## Edgar Filing: Kapur Vimal - Form 3

|  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security       | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--|---------------------|--------------------|-----------------|----------------------------------|----------------|--|---|
| Employee Stock Options (right to buy)  | (1)                 | 02/24/2021         | Common<br>Stock | 10,057                           | \$ 56.73       | D  | Â |
| Employee Stock Options (right to buy)  | (2)                 | 02/28/2022         | Common<br>Stock | 5,280                            | \$ 59.53       | D  | Â |
| Employee Stock Options (right to buy)  | (2)                 | 02/26/2023         | Common<br>Stock | 7,040                            | \$ 69.38       | D  | Â |
| Employee Stock Options (right to buy)  | (2)                 | 02/26/2024         | Common<br>Stock | 7,542                            | \$ 93.44       | D  | Â |
| Employee Stock Options (right to buy)  | (3)                 | 02/25/2025         | Common<br>Stock | 12,068                           | \$ 103.31      | D  | Â |
| Employee Stock Options (right to buy)  | (4)                 | 02/24/2026         | Common<br>Stock | 14,080                           | \$ 103.07      | D  | Â |
| Employee Stock Options (right to buy)  | (5)                 | 02/27/2027         | Common<br>Stock | 15,500                           | \$ 124.99      | D  | Â |
| Employee Stock Options (right to buy)  | (6)                 | 02/26/2028         | Common<br>Stock | 16,500                           | \$ 155.39      | D  | Â |
| Restricted Stock Units                 | (7)                 | (7)                | Common<br>Stock | 1,368                            | \$ <u>(8)</u>  | D  | Â |
| Restricted Stock Units                 | (9)                 | (9)                | Common<br>Stock | 3,370                            | \$ <u>(8)</u>  | D  | Â |
| Restricted Stock Units                 | (10)                | (10)               | Common<br>Stock | 2,354                            | \$ <u>(8)</u>  | D  | Â |
| Restricted Stock Units                 | (11)                | (11)               | Common<br>Stock | 2,220                            | \$ <u>(8)</u>  | D  | Â |
| Restricted Stock Units                 | (12)                | (12)               | Common<br>Stock | 5,000                            | \$ <u>(8)</u>  | D  | Â |
| Restricted Stock Units                 | (13)                | (13)               | Common<br>Stock | 2,360                            | \$ <u>(8)</u>  | D  | Â |
| Supplemental Savings<br>Plan Interests | (14)                | (14)               | Common<br>Stock | 265.431                          | \$ <u>(14)</u> | D  | Â |

# **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                    | Relationships |           |                      |       |  |  |  |
|--|---------------|-----------|----------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer              | Other |  |  |  |
| Kapur Vimal<br>115 TABOR ROAD<br>MORRIS PLAINS, NJ 07950 | Â             | Â         | President & CEO, HBT | Â     |  |  |  |

# Signatures

Jacqueline Katzel for Vimal 06/11/2018 Kapur

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Employee Stock Options were granted under the 2006 Stock Incentive Plan and are fully vested.
- (2) The Employee Stock Options were granted under the 2011 Stock Incentive Plan and are fully vested.
- (3) The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 9,051 options fully vested and 3,017 options vesting on February 26, 2019.
- (4) The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 7,040 options fully vested and 3,520 options vesting on February 25, 2019 and 3,520 vesting on February 25, 2020.
- (5) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 3,875 options fully vested and 3,875 options vesting on each of February 28, 2019, February 28, 2020 and February 28, 2021.
- (6) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 4,125 options vesting on each of February 27, 2019, February 27, 2020, February 27, 2021 and February 27, 2022.
- (7) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on July 29, 2018.
- (8) Instrument converts to common stock on a one-for-one basis.
- (9) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with 1,659 units vesting on July 25, 2019 and 1,711 units vesting on July 25, 2021.
- (10) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on February 25, 2019.
- (11) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 28, 2020.
- (12) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 1,650 units vesting on July 27, 2019; 1,650 units vesting on July 27, 2021 and 1,700 units vesting on July 27, 2023.
- (13) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 27, 2021.
- (14) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on May 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.