Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 3

HONEYWELL INTERNATIONAL INC Form 3 April 11, 2016 FORM 3 UNITED STATES SECURITIES Washington

M 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Gautam Rajeev			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol HONEYWELL INTERNATIONAL INC [HON]				
(Last)	(First)	(Middle)	04/04/2016		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
115 TABOR	(Street)			Director		Owner	6. Individual or Joint/Group Filing(Check Applicable Line)	
MORRIS PLAINS, NJ 0795		JÂ 07950		X_OfficerOther (give title below) (specify below Pres. and CEO, PMT			_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I -	Non-Derivat	tive Securiti	es Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity		2. Amount Beneficially (Instr. 4)	of Securities y Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.		
Common Sto	ock		18,456		D	Â		
Common Sto	ock		1,274		Ι	Held	in 401(k) plan	
Reminder: Repo		ate line for ea	ch class of securities benefi	cially S	EC 1473 (7-02	2)		

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	(1)	02/28/2022	Common Stock	4,000	\$ 59.87	D	Â
Employee Stock Options (right to buy)	(2)	02/26/2023	Common Stock	8,500	\$ 69.77	D	Â
Employee Stock Options (right to buy)	(3)	02/26/2024	Common Stock	17,000	\$ 93.97	D	Â
Employee Stock Options (right to buy)	(4)	02/25/2025	Common Stock	20,000	\$ 103.9	D	Â
Employee Stock Options (right to buy)	(5)	02/24/2026	Common Stock	22,000	\$ 103.65	D	Â
Restricted Stock Units	(6)	(6)	Common Stock	20,000	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(8)	(8)	Common Stock	2,840	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(9)	(9)	Common Stock	3,340	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(10)	(10)	Common Stock	3,670	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(11)	(11)	Common Stock	6,700	\$ <u>(7)</u>	D	Â
Supplemental Savings Plan Interests	(12)	(12)	Common Stock	1,568	\$ (12)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director 10% Owner		Officer	Other		
Gautam Rajeev 115 TABOR ROAD MORRIS PLAINS, NJ 07950	Â	Â	Pres. and CEO, PMT	Â		
Signatures						
Jacqueline Katzel for Rajeev Gautam	04/11/2016					
**Signature of Reporting Person		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan and are fully vested.
- (2) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 4,250 options fully vested and 4,250 options that will vest on February 27, 2017.
- (3) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 8,500 options fully vested and 4,250 options that will vest on each of February 27, 2017 and February 27, 2018.
- (4) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 5,000 options fully vested and 5,000 options that will vest on each of February 26, 2017, February 26, 2018 and February 26, 2019.
- (5) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan and vest in four equal annual installments with the first installment of 5,500 options vesting on February 25, 2017.
- (6) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on December 31, 2017.
- (7) Instrument converts to common stock on a one-for one basis.
- (8) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 27, 2017.
- (9) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 26, 2018.
- (10) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 25, 2019.
- (11) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with 3,300 units vesting on July 29, 2016 and 3,400 units vesting on July 29, 2018.
- (12) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on April 4, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.