#### Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 4

HONEYWELL INTERNATIONAL INC Form 4 October 08, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Mikkilineni Krishna Issuer Symbol HONEYWELL INTERNATIONAL (Check all applicable) INC [HON] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 115 TABOR ROAD 10/06/2015 SrVP, Engineering, Ops & IT (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MORRIS PLAINS, NJ 07950 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect Form: Direct (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Beneficial Ownership (Month/Day/Year) (Instr. 8) Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common S 10/06/2015 Μ 11,084 А 93,412 D Stock 98.62 Common 10/06/2015 F 5,748 D D 87,664 98.62 Stock Common 10/06/2015 2.216 D Μ Α 89,880 98.62 Stock Common F D 10/06/2015 1.150 88,730 D Stock Held in Common 401(k) 9,500 I Stock plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>iorDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |               | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|---|---------------|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A)   | (D)           | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Units                                 | <u>(1)</u>  | 10/06/2015                              |   | М                                      |   | 11,084<br>(2) | 10/06/2015   | 10/06/2015         | Common<br>Stock   | 11,084                              |
| Restricted<br>Units                                 | <u>(1)</u>  | 10/06/2015                              |   | М                                      |   | 2,216<br>(3)  | 10/06/2015   | 10/06/2015         | Common<br>Stock   | 2,216                               |

## **Reporting Owners**

| Reporting Owner Name / Address                                   | Relationships |           |                             |       |  |  |
|--|---------------|-----------|-----------------------------|-------|--|--|
|  | Director      | 10% Owner | Officer                     | Other |  |  |
| Mikkilineni Krishna<br>115 TABOR ROAD<br>MORRIS PLAINS, NJ 07950 |               |           | SrVP, Engineering, Ops & IT |       |  |  |
| Signatures   |               |           |                             |       |  |  |
| Jacqueline Katzel for Krishna<br>Mikkilineni                     |               | 10/08     | 3/2015                      |       |  |  |

### **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Instrument converts to common stock on a one-for-one basis.
- (2) Includes the reinvestment of dividend equivalents into 1,184 additional restricted stock units.
- (3) Includes the reinvestment of dividend equivalents into 236 additional restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.