#### Edgar Filing: RBI OPPORTUNITIES FUND, LLC - Form 3

#### RBI OPPORTUNITIES FUND, LLC

Form 3

October 17, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

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**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ROCKWELL MEDICAL, INC. [RMTI]  **RBI OPPORTUNITIES** (Month/Day/Year) 10/15/2018 FUND, LLC (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3568 WILDWOOD AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Other Officer Person JACKSON, MIÂ 49202 (give title below) (specify below) Form filed by More than One See Footnote 1 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock (1) (2) D 5,541,562 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

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Stock

Date Amount or or Indirect Expiration Exercisable Number of Date (I) (Instr. 5) Shares Warrants (right to buy) Common 04/17/2019 10/17/2023 Â 2,770,781 \$ 4.96 D

### **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other RBI OPPORTUNITIES FUND, LLC 3568 WILDWOOD AVENUE Â Â See Footnote 1 JACKSON, MIÂ 49202

### **Signatures**

(1)(2)

By: The RBI Opportunities Fund, LLC; By: RBI PI Manager, LLC, Manager; By: /s/ David S. Richmond, Manager

10/17/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The RBI Opportunities Fund, LLC ("RBI Opportunities") may be deemed to be a member of a Section 13(d) group that may be deemed **(1)** to collectively beneficially own more than 10% of the Issuer's outstanding shares of Common Stock.
  - Represents securities directly owned by RBI Opportunities. RBI PI Manager, LLC ("RBI Manager"), as the manager of RBI Opportunities, may be deemed to beneficially own the securities owned directly by RBI Opportunities. David S. Richmond, as the
- manager of RBI Manager, may be deemed to beneficially own the securities owned directly by RBI Opportunities. Each of the foregoing disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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