

FALCONSTOR SOFTWARE INC  
 Form 3  
 September 26, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Murale Daniel  
 (Last) (First) (Middle)

C/O FALCONSTOR SOFTWARE, INC., Â 2 HUNTINGTON QUADRANGLE, SUITE 2S01  
 (Street)

MELVILLE, Â NY Â 11747  
 (City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
 09/15/2016

3. Issuer Name and Ticker or Trading Symbol  
 FALCONSTOR SOFTWARE INC [FALC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
 (give title below) (specify below)  
 VP of Finance & Interim CFO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	3,315	D	Â
Common Stock	6,700 <sup>(1)</sup>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: FALCONSTOR SOFTWARE INC - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date <small>(Month/Day/Year)</small>		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Stock Option (right to buy)	Â (2)	07/03/2023	Common Stock	15,000	\$ 1.15	D Â
Stock Option (right to buy)	Â (3)	02/18/2026	Common Stock	50,000	\$ 1.49	D Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Murale Daniel C/O FALCONSTOR SOFTWARE, INC. 2 HUNTINGTON QUADRANGLE, SUITE 2S01 MELVILLE, NY 11747	Â	Â	Â VP of Finance & Interim CFO	Â

## Signatures

/s/ Daniel Murale  
Date: 09/26/2016

\_\_Signature of Reporting Person  
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock granted on April 21, 2015 under the Company's 2006 Incentive Stock Plan, as amended, of which 3,300 vest on April 21, 2017 and 3,400 vest on April 21, 2018.
  - (2) These options were granted on July 3, 2013 under the Company's 2006 Incentive Stock Plan, as amended, 1/3 of which vested on each of the next three anniversaries of the grant date.
  - (3) These options were granted on February 18, 2016 under the Company's 2006 Incentive Stock Plan, as amended, 1/3 of which vest on each of the next three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.