

Edgar Filing: PENINSULA PARTNERS LP - Form 4

PENINSULA PARTNERS LP
Form 4
September 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person

Peninsula Partners, L.P. (a)
404 B East Main Street
Charlottesville, VA 22902

2. Issuer Name and Ticker or Trading Symbol

W.R. Grace & Co.
GRA

3. IRS Number of Reporting Person (Voluntary)

4. Statement for Month/Year

8/01

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director (X) 10% Owner () Officer (give title below) () Other (specify below)

7. Individual or Joint/Group Filing (check Applicable Line)

Form filed by One Reporting Person
X Form filed by More than One Reporting Person

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED,
DISPOSED OF, OR BENEFICIALLY OWNED

1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3,4,	5. Amount of Securities Beneficially Owned at End of Month
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	Year)		and 5)		(Instr. 3 and 4)
		Code	V	Amount	(A) or (D) Price
Common Stock	8/06/01	P		31,900	A 1.62
Common Stock	8/07/01	P		187,600	A 1.62 10,765,600

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Date/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		
			Code	V	(A) (D)	Date Exercisable	Expiration Date

8. Price of Derivative Security	9. Number of derivative Securities	10. Ownership Form of Derivative	11. Nature of Indirect Beneficial

2

(Instr. 5)	Beneficially Owned at End of Month (Instr. 4)	Security Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)

Explanation of Responses:

- (a) Designated Filer is Peninsula Partners, L.P. ("Partners"). The other Reporting Persons are Peninsula Capital Appreciation, LLC ("Appreciation"), the general partner of Partners, and Peninsula Capital Advisors, LLC ("Advisors"), the investment manager to Partners. Appreciation and Advisors may be deemed to be beneficial owners of the reported shares but each disclaims beneficial ownership in the shares owned by Partners except to the extent of any indirect pecuniary interest therein.
- (1) Shares are owned directly by Partners, indirectly by Appreciation as general partner of Partners, and

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indirectly by Advisors as investment advisor to Partners.

Signature of Reporting Person:

Peninsula Partners, L.P.

By: Peninsula Capital Appreciation, LLC
General Partner

By: /s/ R. Ted Weschler

R. Ted Weschler
Managing Member
Individually and as Power of Attorney
for all other Reporting Persons

Date: September 10, 2001

** Intentional misstatements or omissions of facts
constitute Federal Criminal Violations. See U.S.C. 1001 and 15
U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be
manually signed. If space is sufficient, see Instruction 6 for
procedure.

4

Joint Filer Information

Name: Peninsula Capital Appreciation, LLC
Address: 404 B East Main Street, Charlottesville, VA 22902
Designated Filer: Peninsula Partners, L.P.
Issuer and Ticker Symbol: W.R. Grace & Co. ("GRA")
Statement for Month/Year: 8/01

Name: Peninsula Capital Advisors, LLC
Address: 404 B East Main Street, Charlottesville, VA 22902
Designated Filer: Peninsula Partners, L.P.
Issuer and Ticker Symbol: W.R. Grace & Co. ("GRA")
Statement for Month/Year: 8/01

5

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pt" align="left">1 (a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT. NO.

(c) S.E.C. FILE NO

WORK LOCATION

Gold Resource Corp 84-1473173 001-34857

1 (d) ADDRESS OF ISSUER

STREET

CITY

STATE

ZIP CODE

(e) TELEPHONE NO

2886 Carriage Manor Pt

Colorado Springs

CO

80906

303-320-7708

2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD

(b) RELATIONSHIP TO ISSUER

(c) ADDRESS STREET
 CITY
 STATE
 ZIP CODE
 Beth A. Reid
 Wife of Affiliate
 211 Harbour Dr.
 Naples
 FL
 34103

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)	(b)	SEC USE ONLY	(c)	(d)	(e)	(f)	(g)
Title of the Class of Securities To Be Sold	Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	Broker-Dealer File Number	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name of Each Securities Exchange
			(See instr. 3(c))	(See instr. 3(d))	(See instr. 3(e))	(See instr. 3(f))	
						(MO. DAY YR.)	(See instr. 3(g))
Common	Charles Schwab & Co., Inc. 211 Main Street San Francisco, CA 94105		100,000	\$2,300,000	52,998,303	10/20/2010	NYSE Amex

INSTRUCTIONS:

1.
 - (a) Name of issuer
 - (b) Issuer's I.R.S. Identification Number
 - (c) Issuer's S.E.C. file number, if any
 - (d) Issuer's address, including zip code
 - (e) Issuer's telephone number, including area code
2.
 - (a) Name of person for whose account the securities are to be sold
3.
 - (a) Title of the class of securities to be sold
 - (b) Name and address of each broker through whom the securities are intended to be sold
 - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
 - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice
 - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold
 - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

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- (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
- (c) Such person's address, including zip code

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common	01/05	Gift	William W. Reid acquired 1/2/2005	1,000,000	N/A	N/A

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
Beth A. Reid	Common stock	08/17/2010	2,000	\$28,445.55
Beth A. Reid	Common stock	08/16/2010	4,016	\$56,837.37
Beth A. Reid	Common stock	08/02/2010	1,000	\$14,065.81
Beth A. Reid	Common stock	07/30/2010	1,000	\$14,065.82

EXPLANATION OF RESPONSES:

EXPLANATION OF RESPONSES:

REMARKS:

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

October 14, 2010
DATE OF NOTICE

/s/ Beth A. Reid
(SIGNATURE)

DATE OF PLAN ADOPTION OR
GIVING OF INSTRUCTION, IF
RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional
misstatements
or omission of
facts constitute
Federal
Criminal
Violations (See
18 U.S.C.
1001)

SEC 1147 (02-08)

REMARKS: