Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC Form 13F-HR May 11, 2009

OMB APPROVAL								
OMB Number:								
Expires:								
Estimated average burden								
hours per response								
SEC USE ONLY								

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended March 31, 2009 If amended report check here: |_| Amendment Number: _____ This Amendment (Check only one): |_| is a restatement |_| adds new holding entries. PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F)OF THE ACT. Nomura Holdings Inc. ______ Name of Institutional Investment Manager 1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan ______ Business Address (Street) (City) (State)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly Head of Compliance and Surveillance 212-667-1246

Name (Title) (Phone)

/s/ Brendan G. Daly

(Manual Signature of Person Duly Authorized to Submit This Report)

New York, NY May 11, 2009

(Place and Date of Signing)

Report Type:

- [] 13F HOLDINGS REPORT.
- [] 13F NOTICE.
- [X] 13F COMBINATION REPORT.

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4

Form 13F Information Table Entry Total: 32

Form 13F Information Table Value Total: \$29,438 (thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:

6. 28-05739 Global Funds Management, S.A.

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

1.	28-04983	Nomura	Securities	International,	Inc.					
4.	28-04993	Nomura	Securities	(Bermuda)						
2.	28-04985	Nomura	International plc							

1Page 1

FORM 13F INFORMATION TABLE QUARTER ENDING 3/31/2009

		X1000	SHARES PRN AMT	PRN	INVSTMT DSCRETN	
OAIRMEDIA GROUP INC			000000271900			
ALLIS CHALMERS ENERGY INC	019645506	37	00000019400	SH	DEFINED	01
ARMSTRONG WORLD INDS INC NEW	04247X102	436	000000039637	SH	DEFINED	01
BAIDU INC	056752108	1,543	000000033637 000000008920 000000010443	SH	DEFINED	01
COMCAST CORP NEW	20030N200	134	000000010443	SH	DEFINED	01
ORE MARK HOLDING CO INC	218681104	1,061	000000010443 000000058235 000000820656 000000067861	SH	DEFINED	01
DANA HOLDING CORP	235825205	378	000000820656	SH	DEFINED	01
DELTA AIR LINES INC DEL	247361702	382	000000067861	SH	DEFINED	01
DONNELLEY R R & SONS CO	25/86/101	164	000000022400	SH	DELINED	UΙ
FTI CONSULTING INC	302941109	440	000000008900	SH	DEFINED	01
EDERAL MOGUL CORP	313549404	2,225	000000008900 000000333160	SH	DEFINED	01
GRACE W R & CO DEL NEW	38388F108	83	000000013221	SH	DEFINED	01
HORNBECK OFFSHORE SVCS INC N	440543106		000000011388			
HUNTSMAN CORP	447011107		000000052990			
IDENIX PHARMACEUTICALS INC	45166R204		000000301476			
INNOPHOS HOLDINGS INC	45774N108	264	000000023409	SH	DEFINED	01
ISHARES TR	464288513	515	000000007600	SH	DEFINED	01
JPMORGAN CHASE & CO	46625H100	265	000000010000	SH	DEFINED	01
EDCATH CORP	58404W109	131	000000018047	SH	DEFINED	01
NRG ENERGY INC	629377508	322	000000018350	SH	DEFINED	01
NYSE EURONEXT			000000052400			
OWENS CORNING NEW			000000048390			
PRUDENTIAL PLC			000001296517			
SCOTTS MIRACLE GRO CO			000000007090			
SELECT SECTOR SPDR TR			000000015252			
SOLUTIA INC	834376501	477	000000255491	SH	DEFINED	01
SUPERIOR INDS INTL INC	868168105	195	00000016500 000000109000 000001190476	SH	DEFINED	01
TAIWAN SEMICONDUCTOR MFG LTD	874039100	969	000000109000	SH	DEFINED	01
ARGACEPT INC	87611R306	3,190	000001190476	SH	DEFINED	02
TYSON FOODS INC	902494103	176	000000018813	SH	DEFINED	02
VIRGIN MEDIA INC	92769L101	146	000000030556	SH	DEFINED	01
WINN DIXIE STORES INC	974280307	187	000000019660	SH	DEFINED	01