

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC
Form 13F-HR
February 14, 2008

OMB APPROVAL

OMB Number:
Expires:
Estimated average burden
hours per response.....

SEC USE ONLY

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended December 31, 2007

If amended report check here: Amendment Number: _____

This Amendment (Check only one): is a restatement
 adds new holding
entries.

PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F) OF THE ACT.

Nomura Holdings Inc.

Name of Institutional Investment Manager

1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Business Address (Street) (City) (State) (Zip)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

2. 28-04985 Nomura International plc

4. 28-04993 Nomura Securities (Bermuda)

1. 28-04983 Nomura Securities International, Inc.

1Page 1

FORM 13F
INFORMATION TABLE
QUARTER ENDING
12/31/2007

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PUT PRN CALL	INVTMT DSCRETN	OT MAN
FOSTER WHEELER LTD		G36535139	740	000000004775	SH	DEFINED	01
SCOTTISH RE GROUP LIMITED		G73537410	87	000000120355	SH	DEFINED	01
SHIP FINANCE INTERNATIONAL L		G81075106	890	000000032136	SH	DEFINED	02
SINA CORP		G81477104	245	000000005538	SH	DEFINED	02
TSAKOS ENERGY NAVIGATION LTD		G9108L108	940	000000025409	SH	DEFINED	02
DRYSHIPS INC		Y2109Q101	812	000000010491	SH	DEFINED	02
SEASPAN CORP		Y75638109	684	000000027937	SH	DEFINED	02
ABERCROMBIE & FITCH CO		002896207	1,354	000000016934	SH	DEFINED	02
ADVANCED MICRO DEVICES INC		007903107	652	000000086985	SH	DEFINED	02
ALCOA INC		013817101	1,034	000000028317	SH	DEFINED	02
ALTUS PHARMACEUTICALS INC		02216N105	4,441	000000866624	SH	DEFINED	02
AMERICAN EXPRESS CO		025816109	9,617	000000184875	SH	DEFINED	02
AMERICAN INTL GROUP INC		026874107	832	000000014273	SH	DEFINED	02
AMERICAN SUPERCONDUCTOR CORP		030111108	674	000000024653	SH	DEFINED	02
AMGEN INC		031162100	1,080	000000023263	SH	DEFINED	02
APPLE INC		037833100	490	000000002476	SH	DEFINED	02
ARCHER DANIELS MIDLAND CO		039483102	1,215	000000026178	SH	DEFINED	02
RMSTRONG WORLD INDS INC NEW		04247X102	1,985	000000049497	SH	DEFINED	01
AVENTINE RENEWABLE ENERGY		05356X403	251	000000019695	SH	DEFINED	02
BAIDU COM INC		056752108	451	000000001158	SH	DEFINED	02
BALDOR ELEC CO		057741100	727	000000021619	SH	DEFINED	02
BANCOLOMBIA S A		05968L102	243	000000007153	SH	DEFINED	02
BANK OF AMERICA CORPORATION		060505104	1,458	000000035349	SH	DEFINED	02
BLACKROCK INC		09247X101	248	000000001146	SH	DEFINED	02
BOEING CO		097023105	460	000000005267	SH	DEFINED	02
BURLINGTON NORTHN SANTA FE C		12189T104	6,490	000000077977	SH	DEFINED	02
CSX CORP		126408103	12,286	000000279365	SH	DEFINED	02
CABLEVISION SYS CORP		12686C109	553	000000022600	SH	DEFINED	01
CAPSTONE TURBINE CORP		14067D102	253	000000155324	SH	DEFINED	02
CATERPILLAR INC DEL		149123101	1,043	000000014382	SH	DEFINED	02
CISCO SYS INC		17275R102	796	000000029433	SH	DEFINED	02
CITIGROUP INC		172967101	17,547	000000596057	SH	DEFINED	02
COCA COLA CO		191216100	1,772	000000028886	SH	DEFINED	02
COLGATE PALMOLIVE CO		194162103	580	000000007441	SH	DEFINED	02
COMCAST CORP NEW		20030N200	533	000000029450	SH	DEFINED	01
OMPELLENT TECHNOLOGIES INC		20452A108	15,740	000001300343	SH	DEFINED	02
OMVERGE INC		205859101	248	000000007907	SH	DEFINED	02
CONOCOPHILLIPS		20825C104	14,620	000000165574	SH	DEFINED	02
CONSECO INC		208464883	839	000000066822	SH	DEFINED	01

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

ORE MARK HOLDING CO INC	218681104	2,047	000000071282	SH	DEFINED	01
OVAD COMMUNICATIONS GROUP I	222814204	54	000000063499	SH	DEFINED	01
CREE INC	225447101	879	000000032000	SH	DEFINED	02
CROCS INC	227046109	3,290	000000089379	SH	DEFINED	02
DANAHER CORP DEL	235851102	228	00000002607	SH	DEFINED	02
DELL INC	24702R101	1,824	000000074420	SH	DEFINED	02
DELTA AIR LINES INC DEL	247361702	581	000000039022	SH	DEFINED	01

1Page 2
0

FORM 13F
INFORMATION TABLE
QUARTER ENDING
12/31/2007

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PUT PRN CALL	INVTMT DSCRETN	OT MAN
ODELTA AIR LINES INC DEL		247361702	2,979	000000200124	SH	DEFINED	01
ECHELON CORP		27874N105	237	000000011498	SH	DEFINED	02
ENERGY CONVERSION DEVICES IN		292659109	849	000000025246	SH	DEFINED	02
NERNOC INC		292764107	790	000000016095	SH	DEFINED	02
EVERGREEN SOLAR INC		30033R108	961	000000055690	SH	DEFINED	02
FTI CONSULTING INC		302941109	736	000000011950	SH	DEFINED	01
FLUOR CORP NEW		343412102	1,246	000000008556	SH	DEFINED	02
FUELCELL ENERGY INC		35952H106	773	000000078012	SH	DEFINED	02
GAP INC DEL		364760108	714	000000033592	SH	DEFINED	02
GENERAL ELECTRIC CO		369604103	1,847	000000049831	SH	DEFINED	02
GENERAL MTRS CORP		370442105	958	000000038494	SH	DEFINED	02
LOBAL CASH ACCESS HLDGS INC		378967103	72	000000012000	SH	DEFINED	01
GMARKET INC		38012G100	271	000000010900	SH	DEFINED	02
GOODYEAR TIRE & RUBR CO		382550101	1,622	000000057500	SH	DEFINED	01
GRACE W R & CO DEL NEW		38388F108	1,014	000000038746	SH	DEFINED	01
GROUP 1 AUTOMOTIVE INC		398905109	336	000000014150	SH	DEFINED	01
HARRAHS ENTMT INC		413619107	3,629	000000040900	SH	DEFINED	01
HARRAHS ENTMT INC		413619107	3,629	000000040900	SH	DEFINED	01
HAYES LEMMERZ INTL INC		420781304	1,133	000000248000	SH	DEFINED	01
HOME DEPOT INC		437076102	2,171	000000080609	SH	DEFINED	02
HONEYWELL INTL INC		438516106	857	000000013934	SH	DEFINED	02
HORNBECK OFFSHORE SVCS INC N		440543106	1,081	000000024050	SH	DEFINED	01
HUNTSMAN CORP		447011107	249	000000009700	SH	DEFINED	01
HUNTSMAN CORP		447011107	1,194	000000046479	SH	DEFINED	01
HUNTSMAN CORP		447011107	249	000000009700	SH	DEFINED	01
CO GLOBAL COMM HLDGS LTD DE		44930K108	81	000000025716	SH	DEFINED	01
IDENIX PHARMACEUTICALS INC		45166R204	791	000000301476	SH	DEFINED	02
NNOPHOS HOLDINGS INC		45774N108	729	000000049028	SH	DEFINED	01
INTEL CORP		458140100	1,373	000000051511	SH	DEFINED	02
INTERNATIONAL BUSINESS MACHS		459200101	1,522	000000014086	SH	DEFINED	02
INTERNATIONAL RECTIFIER CORP		460254105	751	000000022132	SH	DEFINED	02
SHARES COMEX GOLD TR		464285105	12,828	000000155586	SH	DEFINED	02
ISHARES INC		464286400	2,621	000000032487	SH	DEFINED	02
ISHARES TR		464287184	5,550	000000032000	SH	DEFINED	02
ISHARES TR		464287234	15,326	000000101975	SH	DEFINED	02
ISHARES TR		464287390	3,805	000000015289	SH	DEFINED	02
ITRON INC		465741106	765	000000007979	SH	DEFINED	02
JA SOLAR HOLDINGS CO LTD		466090107	275	000000011856	SH	DEFINED	02
JP MORGAN CHASE & CO		46625H100	320	000000007343	SH	DEFINED	02
JETBLUE AWYS CORP		477143101	306	000000051913	SH	DEFINED	02
JOHNSON & JOHNSON		478160104	1,233	000000018495	SH	DEFINED	02
KOHL'S CORP		500255104	18,480	000000403502	SH	DEFINED	02
LDK SOLAR CO LTD		50183L107	834	000000017760	SH	DEFINED	02
LAS VEGAS SANDS CORP		517834107	5,049	000000049003	SH	DEFINED	02
LEHMAN BROS HLDGS INC		524908100	2,743	000000041924	SH	DEFINED	02
LILLY ELI & CO		532457108	1,063	000000019924	SH	DEFINED	02

1Page 3

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

0

FORM 13F
INFORMATION TABLE
QUARTER ENDING
12/31/2007

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVTMT DCRETN	OT MAN
OLIMITED BRANDS INC		532716107	6,485	000000342596	SH		DEFINED	02
MEMC ELECTR MATLS INC		552715104	823	000000009309	SH		DEFINED	02
MTR GAMING GROUP INC		553769100	475	000000070015	SH		DEFINED	01
MAGELLAN HEALTH SVCS INC		559079207	4,672	000000100202	SH		DEFINED	01
MCDONALDS CORP		580135101	348	000000005919	SH		DEFINED	02
EDCATH CORP		58404W109	762	000000031050	SH		DEFINED	01
MEDIS TECHNOLOGIES LTD		58500P107	238	000000015457	SH		DEFINED	02
MERRILL LYNCH & CO INC		590188108	12,718	000000236932	SH		DEFINED	02
MIRANT CORP NEW		60467R100	1,345	000000034530	SH		DEFINED	01
MOODYS CORP		615369105	25,852	000000724151	SH		DEFINED	02
MORGAN STANLEY		617446448	1,017	000000019162	SH		DEFINED	02
MOTOROLA INC		620076109	725	000000045243	SH		DEFINED	02
MUELLER WTR PRODS INC		624758207	434	000000043552	SH		DEFINED	01
NRG ENERGY INC		629377508	751	000000017350	SH		DEFINED	01
NEWMONT MINING CORP		651639106	1,152	000000023595	SH		DEFINED	02
NIKE INC		654106103	937	000000014600	SH		DEFINED	02
NORFOLK SOUTHERN CORP		655844108	3,666	000000072686	SH		DEFINED	02
NORTHWEST AIRLS CORP		667280408	8,423	000000580531	SH		DEFINED	01
NUCOR CORP		670346105	9,623	000000162506	SH		DEFINED	02
OMNITURE INC		68212S109	231	000000006950	SH		DEFINED	02
ORACLE CORP		68389X105	1,113	000000049335	SH		DEFINED	02
ORMAT TECHNOLOGIES INC		686688102	628	000000011429	SH		DEFINED	02
OVERSEAS SHIPHOLDING GROUP I		690368105	1,031	000000013859	SH		DEFINED	02
OWENS CORNING NEW		690742101	3,854	000000190636	SH		DEFINED	01
PACIFIC ETHANOL INC		69423U107	236	000000028844	SH		DEFINED	02
PANTRY INC		698657103	373	000000014300	SH		DEFINED	01
PEPSICO INC		713448108	588	000000007749	SH		DEFINED	02
PFIZER INC		717081103	1,261	000000055502	SH		DEFINED	02
PLUG POWER INC		72919P103	219	000000055684	SH		DEFINED	02
POWER INTEGRATIONS INC		739276103	798	000000023191	SH		DEFINED	02
RCN CORP		749361200	1,031	000000066190	SH		DEFINED	01
R H DONNELLEY CORP		74955W307	372	000000010200	SH		DEFINED	01
SANDISK CORP		80004C101	1,245	000000037538	SH		DEFINED	02
SCHLUMBERGER LTD		806857108	206	000000002100	SH		DEFINED	02
SCOTTS MIRACLE GRO CO		810186106	351	000000009390	SH		DEFINED	01
SEACOR HOLDINGS INC		811904101	674	000000007270	SH		DEFINED	01
SOHU COM INC		83408W103	339	000000006223	SH		DEFINED	02
STARBUCKS CORP		855244109	724	000000035396	SH		DEFINED	02
STARWOOD HOTELS&RESORTS WRLD		85590A401	6,100	000000138558	SH		DEFINED	02
SUNPOWER CORP		867652109	4,527	000000034719	SH		DEFINED	02
SUNTECH PWR HLDGS CO LTD		86800C104	822	000000009993	SH		DEFINED	02
ARGACEPT INC		87611R306	9,970	000001190476	SH		DEFINED	02
HORNBURG MTG INC		885218701	240	000000010000	SH		DEFINED	01
3M CO		88579Y101	497	000000005895	SH		DEFINED	02
TIME WARNER INC		887317105	1,336	000000080976	SH		DEFINED	02
TIME WARNER CABLE INC		88732J108	2,508	000000090903	SH		DEFINED	01

1Page 4

0

FORM 13F
INFORMATION TABLE
QUARTER ENDING
12/31/2007

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVTMT DCRETN	OT MAN
OWN SPORTS INTL HLDGS INC		89214A102	493	000000051658	SH		DEFINED	01

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

TRUMP ENTMT RESORTS INC	89816T103	111	000000025906	SH	DEFINED	01
UAL CORP	902549807	508	000000014259	SH	DEFINED	01
US BANCORP DEL	902973304	661	000000020853	SH	DEFINED	02
U S G CORP	903293405	533	000000014900	SH	DEFINED	01
UNION PAC CORP	907818108	3,118	000000024826	SH	DEFINED	02
UNITED TECHNOLOGIES CORP	913017109	222	000000002906	SH	DEFINED	02
VERASUN ENERGY CORP	92336G106	835	000000054661	SH	DEFINED	02
VERENIUM CORPORATION	92340P100	255	000000051115	SH	DEFINED	02
VIRGIN MEDIA INC	92769L101	947	000000055251	SH	DEFINED	01
VIRGIN MOBILE USA INC	92769R108	396	000000044550	SH	DEFINED	01
VIRGIN MOBILE USA INC	92769R108	396	000000044550	SH	DEFINED	01
WAL MART STORES INC	931142103	1,388	000000029221	SH	DEFINED	02
WINN DIXIE STORES INC	974280307	1,134	000000067241	SH	DEFINED	01
WYNN RESORTS LTD	983134107	335	000000002995	SH	DEFINED	02
YINGLI GREEN ENERGY HLDG CO	98584B103	844	000000021817	SH	DEFINED	02
ZOLTEK COS INC	98975W104	1,192	000000027828	SH	DEFINED	02