

SIFCO INDUSTRIES INC  
Form 8-K  
January 07, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) – January 4, 2016

SIFCO Industries, Inc.  
(Exact name of registrant as specified in its charter)

|                                                                                  |                                       |                                                    |
|----------------------------------------------------------------------------------|---------------------------------------|----------------------------------------------------|
| Ohio<br>(State or other jurisdiction<br>of incorporation)                        | 1-5978<br>(Commission<br>File Number) | 34-0553950<br>(IRS Employer<br>Identification No.) |
| 970 East 64th Street, Cleveland Ohio<br>(Address of principal executive offices) |                                       | 44103<br>(ZIP Code)                                |

Registrant's telephone number, including area code: (216) 881-8600  
N.A.  
(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

On January 4, 2016, SIFCO Industries, Inc. (the "Company" or "SIFCO") received a notice from the New York Stock Exchange (the "NYSE") indicating that the Company is below certain of the the continued listing standards of the NYSE as set forth in Sections 134 and 1101 of the NYSE MKT Company Guide, due to the delay in filing its Annual Report on Form 10-K for the year ended September 30, 2015 (the "Form 10-K") beyond the extended filing date. Currently the Company is working diligently to compile and disseminate the information required to be included in the Form 10-K. The Company expects to file the Form 10-K before the deadline set by the NYSE.

On January 7, 2016, SIFCO issued a press release announcing the receipt of the NYSE notice, which press release is filed herewith as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 Press Release by the Company regarding the the NYSE notice dated January 7, 2016

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SIFCO Industries, Inc.  
(Registrant)

Date: January 7, 2016

/s/ Salvatore Incanno  
Salvatore Incanno  
Chief Financial Officer  
(Principal Financial Officer)