#### LEBOW BENNETT S

Form 4 May 02, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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subject to Section 16. Form 4 or Form 5 obligations may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * LEBOW BENNETT S |             |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol VECTOR GROUP LTD [VGR] | 5. Relationship of Reporting Person(s) to Issuer        |  |  |  |  |
|---|-------------|----------|---|---|--|--|--|--|
| (Last) (First) (Midd                                      |             | (Middle) | 3. Date of Earliest Transaction   | (Check all applicable)                                  |  |  |  |  |
|   |             |          | (Month/Day/Year)  | X Director 10% Owner                                    |  |  |  |  |
| 667 MADISON AVENUE, 14TH FLOOR                            |             | JE, 14TH | 05/02/2019  | Officer (give titleOther (specify below)                |  |  |  |  |
|   | (Street)    |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check               |  |  |  |  |
|   |             |          | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |  |
| NEW YORK  | K, NY 10065 | 5        |   | Form filed by More than One Reporting Person            |  |  |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-I  | Derivative S      | Securi | ties Ac   | quired, Disposed   | of, or Benefic  | ially Owned                            |
|--------------------------------------|---|---|---|-------------------|--------|---|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or |                   |        | Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      | 05/02/2019                              |   | Code V A  | Amount 10,000 (1) | (D)    | Price \$ 0  | (Instr. 3 and 4)<br>1,205,306                            | D   |  |
| Common<br>Stock                      |   |   |   |                   |        |   | 5,420,271  | I   | By LeBow Gamma Limited Partnership (2) |
| Common<br>Stock                      |   |   |   |                   |        |   | 465,395  | I   | By LeBow<br>Alpha LLLP                 |
| Common                               |   |   |   |                   |        |   | 193,595  | I   | By LeBow                               |

Stock

Epsilon 2001 Limited Partnership

(4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transa<br>Code<br>(Instr. | 8) | 5. Mumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|--------------------------------------|---|---------------------------------|----|---|---------------------|--------------------|-------|--|---|
|   |   |                                      |   | Code                            | v  | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Keiauonsmps |           |         |       |  |  |  |  |
|---|-------------|-----------|---------|-------|--|--|--|--|
|   | Director    | 10% Owner | Officer | Other |  |  |  |  |
| LEBOW BENNETT S<br>667 MADISON AVENUE<br>14TH FLOOR<br>NEW YORK, NY 10065 | X           |           |         |       |  |  |  |  |

### **Signatures**

/s/ J. Bryant Kirkland III, Attorney-in-fact 05/02/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

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On May 2, 2019, Vector Group Ltd. ("VGR") awarded the reporting person 10,000 shares of VGR's common stock for services as a director of VGR.

- LeBow Gamma Limited Partnership is a Delaware limited partnership. LeBow 2011 Management Trust is the managing member of LeBow Holdings LLC, a Delaware limited liability company, which is the sole stockholder of LeBow Gamma, Inc., a Nevada corporation, which is the general partner of LeBow Gamma Limited Partnership. Mr. LeBow is trustee of LeBow 2011 Management Trust and a director and officer of LeBow Gamma, Inc. Mr. LeBow disclaims beneficial ownership for purposes of Section 16 of the Securities Exchange Act of 1934 of the Issuer's common stock held by LeBow Gamma Limited Partnership except to the extent of his pecuniary interest therein.
  - LeBow Alpha LLLP is a Delaware limited liability limited partnership. LeBow Holdings LLC, a Delaware limited liability company, is the general partner of LeBow Alpha LLLP. LeBow 2011 Management Trust is the managing member of LeBow Holdings LLC. Mr.
- (3) LeBow is trustee of LeBow 2011 Management Trust. Mr. LeBow disclaims beneficial ownership for purposes of Section 16 of the Securities Exchange Act of 1934 of the Issuer's common stock held by LeBow Alpha LLLP except to the extent of his pecuniary interest therein.
  - LeBow Epsilon 2001 Limited Partnership is a Delaware limited partnership. LeBow Epsilon 2001 LLC, a Delaware limited liability company, is the general partner of LeBow Epsilon 2001 Limited Partnership. LeBow 2011 Management Trust is the managing member of LeBow Holdings LLC, a Delaware limited liability company, which is the general partner of LeBow Alpha LLLP, a Delaware limited
- (4) liability limited partnership, which is the controlling member of LeBow Epsilon 2001 LLC. Mr. LeBow is trustee of LeBow 2011 Management Trust and a manager of LeBow Epsilon 2001 LLC. Mr. LeBow disclaims beneficial ownership for purposes of Section 16 of the Securities Exchange Act of 1934 of the Issuer's common stock held by LeBow Epsilon 2001 Limited Partnership except to the extent of his pecuniary interest therein.

#### **Remarks:**

Exhibit 24 - Power of Attorney (previously filed as Exhibit 24 to Form 4 dated September 16, 2014, which is dated September Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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