DARDEN JANE W Form 4

August 16, 2018

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* DARDEN JANE W

2. Issuer Name and Ticker or Trading

Symbol

CHARTER FINANCIAL CORP

5. Relationship of Reporting Person(s) to

Issuer

[CHFN]

(Check all applicable)

1233 O.G. SKINNER DRIVE

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title

6. Individual or Joint/Group Filing(Check

08/13/2018

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

Person

below)

WEST POINT, GA 31833

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secur	ities Acqu	ired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	on(A) or Di	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(msu. 3 and 4)		
Common Stock	08/13/2018		M	6,235	A	\$ 8.18	51,357	D	
Common Stock	08/13/2018		M	13,718	A	\$ 8.82	65,075	D	
Common Stock	08/13/2018		M	34,292	A	\$ 10.89	99,367	D	
Common Stock	08/13/2018		F	22,548	D	\$ 24.19	76,819	D	
Common Stock							6,640	I	As Trustee for Trust

Common Stock 6,235 I By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 8.18	08/14/2018		M		6,235	06/22/2011	06/22/2020	Common Stock	6,235
Stock Option	\$ 8.82	08/14/2018		M		13,718	01/27/2010	01/27/2019	Common Stock	13,718
Stock Option	\$ 10.89	08/14/2018		M		34,292	12/16/2014	12/16/2023	Common Stock	34,292

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer Other				
DARDEN JANE W							
1233 O.G. SKINNER DRIVE	X						
WEST POINT, GA 31833							

## **Signatures**

/s/ Bonnie F. Bonner, attorney-in-fact 08/15/2018

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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