

WASHAM LEE
Form 4
August 14, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WASHAM LEE

2. Issuer Name and Ticker or Trading Symbol
CHARTER FINANCIAL CORP
[CHFN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1233 O.G. SKINNER DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/08/2018

____ Director
 Officer (give title below)
____ 10% Owner
____ Other (specify below)
President

WEST POINT, GA 31833

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	08/08/2018		M		37,411 A \$ 8.18	155,008	D
Common Stock	08/08/2018		M		24,062 A \$ 10.89	179,070	D
Common Stock	08/08/2018		F		33,076 D \$ 24	145,994	D
Common Stock	08/09/2018		S		13,000 D \$ 24.09 (1)	132,994	D
Common Stock	08/10/2018		S		15,397 D \$ 24.17	117,597	D

Edgar Filing: WASHAM LEE - Form 4

					(1)			
Common Stock	08/09/2018	S	1,482	D	\$ 24.07	0	I	By 401(k)
Common Stock					(2)		I	By ESOP
Common Stock						19,105	I	By IRA
Common Stock						35,757	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option	\$ 8.18	08/08/2018		M	37,411	06/22/2011 06/22/2020	Common Stock	37,411
Stock Option	\$ 10.89	08/08/2018		M	24,062	12/16/2014 12/16/2023	Common Stock	24,062

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

WASHAM LEE
1233 O.G. SKINNER DRIVE
WEST POINT, GA 31833

President

Signatures

/s/ Bonnie F. Bonner,
attorney-in-fact

08/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the weighted average sale price of multiple open market sale transactions effected by the Reporting Person on the same day at different prices through a sale order executed by a broker-dealer. The range of prices for the sale transactions on August 9, 2018, was

(1) \$24.05 to \$24.15, and the range of prices for the sale transactions on August 10, 2018, was \$24.05 to \$24.26. The reporting person hereby undertakes to provide upon request by the Securities Exchange Commission staff, the issuer, or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

Reflects the weighted average sale price of multiple sale transactions effected by the Reporting Person on the same day at different prices under the Issuer's 401(k) plan. The range of prices for the sale transactions was \$24.04 to \$24.07. The reporting person hereby undertakes

(2) to provide upon request by the Securities Exchange Commission staff, the issuer, or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.