

Kagimoto Tadahisa  
Form 3  
July 02, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |         |          |   |  |   |  |
|--|---------|----------|---|--|---|--|
| 1. Name and Address of Reporting Person *          |         |          | 2. Date of Event Requiring Statement<br>(Month/Day/Year)  | 3. Issuer Name <b>and</b> Ticker or Trading Symbol | 4. Relationship of Reporting Person(s) to Issuer  | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| Â Kagimoto Tadahisa<br>(Last)                      | (First) | (Middle) | 06/18/2018  | ATHERSYS, INC / NEW [ATHX]                         |   |  |
| 3201 CARNEGIE AVENUE<br>(Street)                   |         |          | (Check all applicable)  |  |   |  |
| CLEVELAND,Â OHÂ 44115-2634<br>(City) (State) (Zip) |         |          | <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer <input type="checkbox"/> Other (specify below) |  | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 12,000,000 <sup>(1)</sup>                                | I   | HEALIOS K.K. ("Healios")                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect (I) | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|--|--|--|
|   | Date Exercisable    Expiration Date                         | Title    Amount or Number of Shares  |  |  |  |

(Instr. 5)

|                             |            |            |              |                         |               |   |         |
|-----------------------------|------------|------------|--------------|-------------------------|---------------|---|---------|
| Warrant (right to purchase) | 06/06/2018 | 09/01/2020 | Common Stock | 4,000,000<br><u>(2)</u> | \$ <u>(3)</u> | I | Healios |
|-----------------------------|------------|------------|--------------|-------------------------|---------------|---|---------|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Kagimoto Tadahisa<br>3201 CARNEGIE AVENUE<br>CLEVELAND, OH 44115-2634 | X             | X         |         |       |

## Signatures

/s/ Hardy TS  
Kagimoto

07/02/2018

        Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 12,000,000 shares of Common Stock held by Healios. See "Explanation of Responses".
- (2) Represents tranche of the Warrant providing right to purchase up to 4,000,000 shares of Common Stock held by Healios. See "Explanation of Responses".
- (3) Greater of \$1.76 and 110% of the average closing price per share of Common Stock for the 10 trading days immediately preceding (but not including) the date the Warrant is exercised.

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### Remarks:

The reporting person may be deemed to have indirect beneficial ownership of securities that are beneficially owned by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.