Edgar Filing: DNP SELECT INCOME FUND INC - Form 3

DNP SELECT INCOME FUND INC

Form 3

October 07, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Statement	, ,	3. Issuer Name and Ticker or Trading Symbol DNP SELECT INCOME FUND INC [DNP]				
09/30/2016	5	4. Relationship of Reporting Person(s) to Issuer		;	5. If Amendment, Date Original Filed(Month/Day/Year)	
		Director	orX 10% Owner		6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person	
					X Form filed by More than One Reporting Person	
	Table I - Non-Derivative Securities Beneficially Owned					
			3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*	
e Preferred	200		I (1)	By S	ubsidiary	
e Preferred	380		I (1)	By S	ubsidiary	
e Preferred	100		I (1)	By S	ubsidiary	
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

Ownership Form of Derivative Security:

Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of

Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships				
Reporting Contest Funite / Futuress		10% Owner	Officer	Othe	
Voya Financial, Inc. 230 PARK AVENUE NEW YORK, NY 10169	Â	ÂX	Â	Â	
Voya Holdings, Inc. ONE ORANGE WAY WINDSOR, CT 06095	Â	ÂΧ	Â	Â	
VOYA RETIREMENT INSURANCE & ANNUITY Co ONE ORANGE WAY WINDSOR, CT 06095	Â	ÂX	Â	Â	

Signatures

/s/ Jean Weng, SVP & Corporate Secretary, Voya Financial, Inc.

10/07/2016

**Signature of Reporting Person

Date

/s/ Jean Weng, SVP & Assistant

Secretary, Voya Holdings Inc.

10/07/2016

**Signature of Reporting Person

Date

Date

/s/ Jean Weng, SVP & Asistant

Secretary, Voya Retirement

10/07/2016

Insurance and Annuity Company

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities reported herein are directly beneficially owned by Voya Retirement Insurance and Annuity Company, Voya Insurance and Annuity Company, ReliaStar Life Insurance Company and Security Life of Denver Insurance Company, each a direct or indirect subsidiary of Voya Financial, Inc. and/or Voya Holdings Inc. Voya Holdings Inc. is a direct subsidiary of Voya Financial, Inc. Voya Financial, Inc. is the indirect beneficial owner of the securities reported herein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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