**INTUIT INC** Form 4 July 26, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SMITH BRAD D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner

(Check all applicable)

C/O INTUIT INC., 2700 COAST

**AVENUE** 

(Month/Day/Year) 07/24/2013

INTUIT INC [INTU]

X\_ Officer (give title Other (specify

below)

CEO, President and Director

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

(Instr. 4)

Form filed by More than One Reporting Person

**MOUNTAIN VIEW, CA 94043** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

Reported Transaction(s)

(Instr. 3 and 4)

Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Underlying (Instr. 3 an

#### Edgar Filing: INTUIT INC - Form 4

	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) of Disposed of (D (Instr. 3, 4, and 5)	)		
				Code V	(Α) (Σ	) Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 63.11	07/24/2013		A	139,500	<u>(1)</u>	07/23/2020	Common Stock
Restricted Stock Unit (2)	(3)	07/24/2013		A	24,500	<u>(4)</u>	(5)	Common Stock
Restricted Stock Unit (performanc- based vesting) (2)	(3)	07/24/2013		A	114,000	<u>(6)</u>	<u>(5)</u>	Commor Stock
Restricted Stock Unit (performance-based vesting) (2)	(3)	07/24/2013		A	123,000	<u>(7)</u>	<u>(5)</u>	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 6	Director	10% Owner	Officer	Other		
SMITH BRAD D C/O INTUIT INC. 2700 COAST AVENUE MOUNTAIN VIEW, CA 94043	X		CEO, President and Director			

## **Signatures**

/s/ Benjamin Schwartz, by power-of-attorney

07/26/2013 Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 33 1/3 of the options vest on 7/24/2014; thereafter, 2.778% of the options vest on the 24th of each of the following 24 months.
- (2) Dividend rights accrue on the underlying shares for this award and settle in cash upon vesting and issuance of those shares.
- (**3**) 1-for-1
- (4) One third of the Restricted Stock Units vest on each of 7/1/2014, 7/1/2015, and 7/1/2016.
- (5) Restricted Stock Units have no expiration date; they either vest or are canceled prior to vest date.

The maximum number of units subject to the award is presented in the table; the number that vest may be 0% - 100% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain pre-established 3-year operating goals, the awarded units will vest on 9/1/2016. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock.

Reporting Owners 2

### Edgar Filing: INTUIT INC - Form 4

The maximum number of units subject to the award is presented in the table; the number that vest may be 0% - 100% of this number

("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2016. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.