

Lytle Patrick A  
Form 3  
March 27, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Lytle Patrick A  
(Last) (First) (Middle)

1775 SHERMAN STREET, SUITE 1200

(Street)

DENVER, CO 80203

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
11/19/2018

3. Issuer Name and Ticker or Trading Symbol  
SM Energy Co [SM]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Controller and PAO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

2,061

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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|                        | Date<br>Exercisable | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |        | or Indirect<br>(I)<br>(Instr. 5) |   |
|------------------------|---------------------|--------------------|-----------------|----------------------------------|--------|----------------------------------|---|
| Restricted Stock Units | Â (1)               | Â (1)              | Common<br>Stock | 668                              | \$ (1) | D                                | Â |
| Restricted Stock Units | Â (2)               | Â (2)              | Common<br>Stock | 2,420                            | \$ (2) | D                                | Â |
| Restricted Stock Units | Â (3)               | Â (3)              | Common<br>Stock | 2,258                            | \$ (3) | D                                | Â |
| Restricted Stock Units | Â (4)               | Â (4)              | Common<br>Stock | 11,655                           | \$ (4) | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                           | Relationships |           |                      |       |
|--------------------------------------------------------------------------|---------------|-----------|----------------------|-------|
|                                                                          | Director      | 10% Owner | Officer              | Other |
| Lytle Patrick A<br>1775 SHERMAN STREET<br>SUITE 1200<br>DENVER, CO 80203 | Â             | Â         | Â Controller and PAO | Â     |

## Signatures

Karin M. Writer  
(Attorney-In-Fact) 03/27/2019

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal  
(1) annual installments beginning July 1, 2017. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal  
(2) annual installments beginning July 1, 2018. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal  
(3) annual installments beginning July 1, 2019. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests on August 1,  
(4) 2020. The vested shares will be issued to the reporting person on the vesting date, at which time all restrictions on the vested shares will lapse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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