#### Edgar Filing: STANDARD PACIFIC CORP /DE/ - Form 4

#### STANDARD PACIFIC CORP /DE/

Form 4 October 02, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and A STOWELL	Address of Reporting SCOTT D	Person *	Symbol	Name and ARD PA			C	5. Relationship of Issuer (Chec	f Reporting Pers	
(Last) 15360 BAR	(First) (I	Middle) WAY	3. Date of (Month/D) 08/05/20	•	ansaction			DirectorX Officer (given below) Chief		Owner er (specify
IRVINE, CA	(Street) A 92618			ndment, Da th/Day/Year)				6. Individual or Jo Applicable Line) _X_ Form filed by Market Form filed by Market Person		erson
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	med n Date, if Day/Year)	Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4	sposed and : (A) or	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/05/2014			Code V A	Amount 39,787 (1)	(D)	Price \$ 7.54	753,001	D	
Common Stock	10/01/2014			A	73,310 (2)	A	\$ 7.4	826,311	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate	7. Title and A Underlying S (Instr. 3 and	Securiti
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numl Share
Stock Appreciation Right	\$ 7.4	10/01/2014		A	550,649	(3)	10/01/2019	Common Stock	550,
Stock Appreciation Right	\$ 7.54	08/05/2014		A	304,259	<u>(4)</u>	08/05/2019	Common Stock	304.

# **Reporting Owners**

Paparting Owner Name / Add	Relationships

Director 10% Owner Officer Other

STOWELL SCOTT D 15360 BARRANCA PARKWAY IRVINE, CA 92618

Chief Executive Officer

### **Signatures**

By: John P. Babel For: Scott D. Stowell 10/02/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1/3 of this restricted stock grant vests on August 5, 2015, April 1, 2016 and April 1, 2017 provided that a threshold level of Company pre-tax income for the six month period commencing July 1, 2014 is achieved.
- (2) 1/3 of this restricted stock grant vests on each of the first three anniversaries of the transaction date provided that a threshold level of Company pre-tax income for 2014 is first achieved.
- (3) 1/3 of this stock appreciation right becomes exercisable on each of the first three anniversaries of the transaction date. Appreciation is capped at \$4.00 per stock appreciation right.
- (4) 1/3 of this stock appreciation right becomes exercisable on August 5, 2015, April 1, 2016 and April 1, 2017. Appreciation is capped at \$4.00 per stock appreciation right.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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