

Edgar Filing: LUMPKIN STEVE - Form 4

LUMPKIN STEVE  
Form 4  
October 10, 2001

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                                OMB APPROVAL
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

[ ] Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person

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Lumpkin           Steven           K.
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(Last)           (First)           (Middle)

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Applebee's International, Inc.  
4551 W. 107th Street, Suite 100

(Street)

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Overland Park     KS           66207
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(City)           (State)      (Zip)

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2. Issuer Name and Ticker or Trading Symbol

Applebee's International, Inc. - APPB

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3. IRS or Social Security Number of Reporting Person (Voluntary)

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4. Statement for Month/Year

9/01

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5. If Amendment, Date of Original (Month/Year)

N/A

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6. Relationship of Reporting Person to Issuer

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(Check all applicable)

[ ] Director [ ] 10% Owner  
 [ X ] Officer (give title below) [ ] Other (specify below)

Executive Vice President and Chief Development Officer  
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 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	
		Code	V	Amount	(A) or (D)
Common Stock	09/04/01	M		15,001	A 13.9167
Common Stock	09/04/01	F		2,881	D 32.0333
Common Stock	09/04/01	S		12,120	D 32.0333

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 Reminder: Report on a separate line for each class of securities beneficially  
 owned directly or indirectly  
 Explanation of Responses:

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts, calls, warrants, options, convertible securities)  
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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Option (right to buy)	13.9167	09/04/01	M	15,001	06/15/01 06/15/08	Common Stock	15,001

Explanation of Responses:

(1) Reflects 3 for 2 stock split on 6/12/01.

/s/Steven K. Lumpkin

October 10, 2001

\*\*Signature of Reporting Person

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedures.