**CARNIVAL CORP** Form 3 July 16, 2007

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Bernstein David

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/09/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CARNIVAL CORP [CCL]

(Check all applicable)

(give title below) (specify below)

Sr. Vice President & CFO

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O CARNIVAL

CORPORATION, Â 3655 NW

87TH AVENUE

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

MIAMI, FLÂ 33178

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D)

(Instr. 5) or Indirect

(I) (Instr. 5)

Common Stock

0

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion or Exercise Price of Derivative

6. Nature of Indirect Beneficial Ownership Form of Ownership

(Instr. 5)

Derivative

Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
ock Option (Right to 1y)	01/11/2000(1)	01/11/2009	Common Stock	8,000	\$ 45.375	D	Â
ock Option (Right to ny)	01/26/2001(1)	01/26/2010	Common Stock	10,000	\$ 43.5625	D	Â
ock Option (Right to ny)	12/02/2007	12/02/2012	Common Stock	2,000	\$ 27.875	D	Â
ock Option (Right to ny)	10/13/2007(2)	10/13/2013	Common Stock	4,800	\$ 34.45	D	Â
ock Option (Right to ny)	10/18/2005(1)	10/18/2014	Common Stock	12,000	\$ 49.085	D	Â
ock Option (Right to 1y)	10/18/2006(1)	10/18/2012	Common Stock	12,000	\$ 46.61	D	Â
ock Option (Right to	10/16/2007(1)	10/16/2013	Common Stock	12,000	\$ 47.83	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>-</b>	Director	10% Owner	Officer	Other		
Bernstein David C/O CARNIVAL CORPORATION 3655 NW 87TH AVENUE MIAMLÂ FLÂ 33178	Â	Â	Sr. Vice President & CFO	Â		

## **Signatures**

David Bernstein 07/12/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest ratably over five years beginning on the first anniversary of the grant date.
- (2) 2,400 options vest on each of October 13, 2007 and 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2