Edgar Filing: U S GLOBAL INVESTORS INC - Form 4

U S GLOBAL INVES Form 4 April 02, 2014	STORS INC									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								PROVAL 3235-0287 January 31, 2005		
subject to Section 16. Form 4 or Form 5 F	TATEMENT Of Tiled pursuant to S tion 17(a) of the 30(h)	SE Section 16(a)	CURI	TIES Securitieng Com	es E> pany	chang Act of	e Act of 1934, 1935 or Section	Estimated a burden hou response n	average	
(Print or Type Responses)										
1. Name and Address of R HOLMES FRANK E	2. Issuer Name and Ticker or Trading Symbol U S GLOBAL INVESTORS INC [GROW]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 3. Date of Earliest Tran (Month/Day/Year) 7900 CALLAGHAN ROAD 03/31/2014			nsaction			X DirectorX 10% Owner X Officer (give title Other (specify below) below) CEO/CIO				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) SAN ANTONIO, TX 78229						 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
							Person			
1.Title of 2. Transa Security (Month/E (Instr. 3)	ction Date 2A. Dee Day/Year) Executio any	med 3. on Date, if Tra Co Day/Year) (In	ansactior ode nstr. 8)		ies Ac sposec	equired I of	uired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	f, or Beneficial 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Class A Common 03/31/2 Stock	014 03/31/2	2014 J <u>(</u>	(1)	663	А	\$ 3.21	146,921	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. iorNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	⁷ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
HOLMES FRANK E 7900 CALLAGHAN ROAD SAN ANTONIO, TX 78229	Х	Х	CEO/CIO					
Signatures								
Susan B. McGee, Power of Att Holmes	(04/02/2014						
**Signature of Reporting		Date						

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchase of restricted stock through Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.