#### LYDON THOMAS F JR

Form 4 January 02, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and A<br>LYDON TH            | Symbol<br>U S GI                        | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>U S GLOBAL INVESTORS INC<br>[GROW] |  |                                    |                  | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable) |   |  |  |  |
|--------------------------------------|---|---|--|------------------------------------|------------------|---|---|--|--|--|
| (Last)                               | (First) (M                              | (Month/   | 3. Date of Earliest Transaction (Month/Day/Year) 12/31/2012                                  |                                    |                  | _X_ Director<br>Officer (giv<br>below)                                      |   | Owner er (specify  |  |  |
| (City)                               | (Street)                                | Filed(Mo  | 4. If Amendment, Date Original Filed(Month/Day/Year)  Table I. Non-Derivative Securities Acc |                                    |                  |   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person quired, Disposed of, or Beneficially Owned |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) |   | 3.<br>Transaction<br>Code  | 4. Securion(A) or D (D) (Instr. 3, | ties A<br>ispose | cquired<br>d of   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |  |  |
| Class A<br>Common<br>Stock           | 12/31/2012                              | 12/31/2012  | J <u>(1)</u>   | 100                                | A                | \$<br>4.01  | 6,200   | D  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) |                                   |                     | ate             | 7. Title<br>Amoun<br>Under | int of<br>lying<br>ities | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene |
|---|---|---|---|---------------------------------------|-----------------------------------|---------------------|-----------------|----------------------------|--------------------------|--|---------------------------------|
|   | Derivative<br>Security                      |   |   |                                       | Securities<br>Acquired            |                     |                 | (Instr.                    | 3 and 4)                 |  | Owne<br>Follo                   |
|   |   |   |   |                                       | (A) or<br>Disposed                |                     |                 |                            |                          |  | Repo<br>Trans                   |
|   |   |   |   |                                       | of (D)<br>(Instr. 3,<br>4, and 5) |                     |                 |                            |                          |  | (Instr                          |
|   |   |   |   |                                       | 4, and 3)                         |                     |                 |                            | Amount                   |  |                                 |
|   |   |   |   |                                       |                                   | Date<br>Exercisable | Expiration Date | Title                      | or<br>Number<br>of       |  |                                 |
|   |   |   |   | Code V                                | (A) (D)                           |                     |                 |                            | Shares                   |  |                                 |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |              |  |  |  |  |
|--------------------------------|---------------|-----------|--------------|--|--|--|--|
| •                              | Director      | 10% Owner | Officer Othe |  |  |  |  |
| LYDON THOMAS F JR              |               |           |              |  |  |  |  |
|                                | X             |           |              |  |  |  |  |

## **Signatures**

Susan B. McGee, Power of Attorney for Thomas F. Lydon, Jr.

01/02/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of stock to member of board of directors under 2010 Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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