Edgar Filing: LYDON THOMAS F JR - Form 4

LYDON TH	OMAS F JR									
Form 4										
November 01	, 2012									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL	
Washington, D.C. 20549								OMB Number:	3235-0287	
Check thi if no long	or		CHANGES IN BENEFICIAL OWN					Expires:	January 31, 2005	
subject to	SIAIEM	ENT OF CHA					NERSHIP OF	Estimated average		
Section 1 Form 4 or	SECUR	ITTES				burden hou	rs per			
Form 5		uant to Section	16(a) of the	e Securit	ies F	xchanc	re Act of 1934	response	0.5	
obligation	18 Section 17(a)						f 1935 or Sectio	n		
may conti <i>See</i> Instru	nue.	30(h) of the	•	•						
1(b).	letton									
(Print or Type R	lesponses)									
1. Name and A LYDON TH	Person <u>*</u> 2. Iss Symbo	Issuer Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
		•	U S GLOBAL INVESTORS INC							
			[GROW]				(Check all applicable)			
(Last)	(First) (M	(iddle) 3. Date	Idle) 3. Date of Earliest Transaction			X_ Director 10% Owner Officer (give title Other (specify below) below)				
	· · · ·		(Month/Day/Year) 10/31/2012							
		10/31								
	4. If A	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check				
	Filed(M					Applicable Line)				
							X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zip) Ta	ble I - Non-D	erivative (Securi	ities Acc	quired, Disposed of	f. or Beneficial	lv Owned	
1.Title of	2. Transaction Date		3.					6. Ownership	-	
Security	(Month/Day/Year)	Execution Date,		Transaction(A) or Disposed of Code (D)			Securities Beneficially		Indirect Beneficial Ownership (Instr. 4)	
(Instr. 3)		any								
		(Month/Day/Yea	r) (Instr. 8)	(Instr. 8) (Instr. 3, 4 and 5)		Owned Following				
					(A)		Reported	(1115111-1)	(1115111-1)	
					(A) or		Transaction(s)			
			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Class A	10/01/0010	10/01/2010	x (1)	100		\$	< 000	D		
Common Stock	10/31/2012	10/31/2012	J <u>(1)</u>	100	А	\$ 5.49	6,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LYDON THOMAS F JR							
	Х						

Signatures

Susan B. McGee, Power of Attorney for Thomas F. Lydon, Jr.

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Award of restricted stock to member of board of directors under 2010 Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

11/01/2012

Date