

WHANG MICHAEL
Form 3
January 12, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â WHANG MICHAEL | | (Month/Day/Year) | AMTECH SYSTEMS INC [ASYS] | |
| (Last) | (First) | (Middle) | 01/04/2018 | |
| C/O AMTECH SYSTEMS, INC., Â 131 S CLARK DRIVE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| TEMPE, Â AZ Â 85281 | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) | (specify below) |
| | | | Vice President of Operations | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| | | | |
|------------------------------------|--|---|--|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | |
|---|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | Date Exercisable Expiration Date | Title Amount or Number of Shares | | | |

(Instr. 5)

| | | | | | | | |
|---|--------------|------------|---|-------|----------|---|---|
| Amtech Systems, Inc. Employee Stock Option | Â <u>(1)</u> | 08/06/2020 | Amtech Systems, Inc. \$.01 par value common stock | 1,000 | \$ 10.64 | D | Â |
| Amtech Systems, Inc. Employee Stock Option | Â <u>(2)</u> | 11/12/2020 | Amtech Systems, Inc. \$.01 par value common stock | 1,000 | \$ 17.12 | D | Â |
| Amtech Systems, Inc. Employee Stock Option | Â <u>(3)</u> | 12/12/2023 | Amtech Systems, Inc. \$.01 par value common stock | 5,000 | \$ 7.01 | D | Â |
| Amtech Systems, Inc. Employee Stock Option | Â <u>(4)</u> | 11/19/2024 | Amtech Systems, Inc. \$.01 par value common stock | 5,000 | \$ 9.98 | D | Â |
| Amtech Systems, Inc. Employee Stock Option | Â <u>(5)</u> | 10/04/2026 | Amtech Systems, Inc. \$.01 par value common stock | 7,500 | \$ 4.87 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WHANG MICHAEL C/O AMTECH SYSTEMS, INC. 131 S CLARK DRIVE TEMPE, AZ 85281 | Â | Â | Â Vice President of Operations | Â |

Signatures

/s/ Michael Whang 01/12/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One-half of the stock option granted vested on each of the first two anniversaries of the 08/06/2010 grant date.

(2) One-half of the stock option granted vested on each of the first two anniversaries of the 11/12/2010 grant date.

(3) One-half of the stock option granted vested on each of the first two anniversaries of the 12/12/2013 grant date.

(4) One-half of the stock option granted vested on each of the first two anniversaries of the 11/19/2014 grant date.

(5) One-half of the stock option granted vests on each of the first two anniversaries of the 10/04/2016 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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