### Edgar Filing: TREMAINE THOMAS R - Form 4

#### TREMAINE THOMAS R

Form 4

December 05, 2005

## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Washington, D.C. 20549

Form 5 obligations may continue.

Check this box

if no longer

subject to

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 30(n) of the Investment Company

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading TREMAINE THOMAS R Issuer Symbol RAYMOND JAMES FINANCIAL (Check all applicable) INC [RJF] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_\_ Officer (give title Other (specify (Month/Day/Year) below) 880 CARILLON PARKWAY 12/01/2005 Executive Vice President - RJA (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST. PETERSBURG, FL 33716 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 23,391 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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4,163 (1)

**ESOP** 

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Employee Stock Option (right to buy)	\$ 21.33					11/28/2004 <u>(2)</u>	01/28/2007	Common Stock	9,000
Employee Stock Option (right to buy)	\$ 21.03					12/10/2005(3)	02/10/2008	Common Stock	7,500
Employee Stock Option (right to buy)	\$ 25.2					12/04/2006	02/04/2009	Common Stock	3,588
Employee Stock Option (right to buy)	\$ 25.2					12/04/2006 <u>(4)</u>	02/04/2009	Common Stock	8,412
Employee Stock Option (right to buy)	\$ 37.46	12/01/2005		M	7,425	12/01/2008 <u><sup>(5)</sup></u>	02/01/2011	Common Stock	7,425
Employee Stock Option (right to buy)	\$ 37.46	12/01/2005		M	2,494	12/01/2008 <u><sup>(6)</sup></u>	02/01/2011	Common Stock	2,494

### Edgar Filing: TREMAINE THOMAS R - Form 4

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TREMAINE THOMAS R 880 CARILLON PARKWAY ST. PETERSBURG, FL 33716

Executive Vice President - RJA

### **Signatures**

Thomas R. Tremaine 12/02/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes number of shares aguired under ESOP through 12/2004
- (2) Options Currently exercisable 4,009 Options Becoming exercisable 3,191 on 11/28/2005 and 1,800 on 11/28/2006
- (3) Options Becoming exercisable 1,500 on 12/10/2005, 2,625 on 12/10/2006 and 3,375 on 12/10/2007
- (4) Options Becoming exercisable 252 on 12/04/2006, 1,080 on 12/04/2007, 3,600 on 01/04/2008 and 3,480 on 01/04/2009
- (5) Options Becoming exercisable 5,753 on 12/01/2008, and 1,672 on 12/01/2009
- (6) Options Becoming exercisable 247 on 12/1/2008, 328 on 12/1/2009 and 2,000 on 12/01/2010

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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