

TRABERT MARK JOSEPH  
Form 4  
December 07, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TRABERT MARK JOSEPH

(Last) (First) (Middle)

SENECA ST AND JAMISON RD

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
MOOG INC. [MOGA/MOGB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/05/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Class A Common					231	D	
Class B Common	12/05/2018		A <sup>(1)</sup>	532 A <sup>(1)</sup> \$ 0 <sup>(2)</sup>	1,218	D	
Class B Common <sup>(3)</sup>					544	I	401 (k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 3)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SAR <u>(4)</u>	\$ 61.69					<u>(5)</u>	11/11/2023	Class A Common	2,000
SAR <u>(4)</u>	\$ 74.38					<u>(5)</u>	11/11/2024	Class A Common	2,000
SAR <u>(6)</u>	\$ 63.04					<u>(5)</u>	11/17/2025	Class A Common	3,333
SAR <u>(6)</u>	\$ 65.9					<u>(5)</u>	11/17/2025	Class B Common	6,667
SAR <u>(6)</u>	\$ 71.648					<u>(5)</u>	11/15/2026	Class B Common	10,000
SAR <u>(6)</u>	\$ 82.31					<u>(5)</u>	11/14/2027	Class B Common	6,181
SAR	\$ 80.19					<u>(5)</u>	11/13/2028	Class B Common	6,988

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TRABERT MARK JOSEPH SENECA ST AND JAMISON RD EAST AURORA, NY 14052			Vice President	

## Signatures

Timothy P. Balkin, as Power of Attorney for Mark Joseph Trabert

12/07/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Awarded under the 2014 Moog Inc. Long Term Incentive Plan.
- (2) Stock bonus.
- (3) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent statement to participants.
- (4) Stock Appreciation Right (SAR) granted under the 2008 Appreciation Rights Plan.
- (5) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (6) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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