Walker Steven M Form 4 July 20, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Walker Steven M

(Last) (First) (Middle)

(Street)

3000 TAFT STREET

HOLLYWOOD, FL 33021

2. Issuer Name and Ticker or Trading Symbol

HEICO CORP [HEI, HEI.A]

3. Date of Earliest Transaction (Month/Day/Year)

07/19/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

Chief Accounting Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	ırities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	omr Dispo (Instr. 3,	(A)	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock							1,171 <u>(1)</u>	D	
Class A Common Stock	07/19/2017		M	3,000	A	\$ 17.5882	4,171	D	
Class A Common Stock	07/20/2017		S	3,000	D	\$ 65.7642	1,171	D	
Common Stock							4,699 (1)	I	By 401(k)

#### Edgar Filing: Walker Steven M - Form 4

Class A Common	4,194 <u>(1)</u>	By 401(k	)					
Stock		_						
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.								
	on of SEC 1474							
	information contained in this form are	e not (9-02)						

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to purchase Class A Common Stock)	\$ 17.5882	07/19/2017		М		3,000	<u>(3)</u>	03/28/2021	Class A Common Stock	3,000
Option (Right to purchase Class A Common Stock)	\$ 19.7376						<u>(3)</u>	09/14/2022	Class A Common Stock	7,813
Option (Right to purchase Class A Common Stock)	\$ 32.6336						<u>(3)</u>	09/23/2023	Class A Common Stock	7,813
Option (Right to purchase Class A Common	\$ 38.92						<u>(3)</u>	06/08/2025	Class A Common Stock	6,250

Stock)

Option

Stock)

(Right to

purchase \$ 54.28

Class A Common

Class A (3) 12/12/2026 Common

7,500

Stock

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Walker Steven M 3000 TAFT STREET HOLLYWOOD, FL 33021

Chief Accounting Officer

### **Signatures**

/s/ Steven M.

07/20/2017 Walker

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares reflect the impact of a 5-for-4 stock split that was effected on April 19, 2017.
- Represents shares held for the benefit of the Reporting Person by the HEICO Corporation 401(k) based on a plan statement dated July 19, **(2)** 2017.
- (3) These Options become exercisable 20% per year over five years from the date of Grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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