

HALLIBURTON CO  
Form 4  
January 03, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Grubisich Jose C

(Last) (First) (Middle)

RUA GAL. FURTADO DO  
NASCIMENTO, 66-ALTO DE  
PINHEIROS

(Street)

SAO PAULO - SP, D5 05465 070

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/31/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |     |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
|                                 |                                      |  |                                | (A) or (D)  | Code  | V  | Amount                            | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. Transaction Number | 5. | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Pr |
|------------------------|---------------|--------------------------------------|-------------------------------|-----------------------|----|---|--|-------|
|------------------------|---------------|--------------------------------------|-------------------------------|-----------------------|----|---|--|-------|

Edgar Filing: HALLIBURTON CO - Form 4

| Security (Instr. 3)    | or Exercise Price of Derivative Security | any (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) | (Instr. 3 and 4) |                  |                 |              |                            |
|------------------------|--|----------------------|-----------------|---|------------------|------------------|------------------|-----------------|--------------|----------------------------|
|                        |  |                      | Code            | V   | (A)              | (D)              | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares |
| 2016                   |  |                      |                 |   |                  |                  |                  |                 |              |                            |
| Restricted Stock Units | (1)                                      |                      |                 |   |                  |                  | (2)              | (2)             | Common Stock | 4,208.471 (3)              |
| 2015                   |  |                      |                 |   |                  |                  |                  |                 |              |                            |
| Restricted Stock Units | (1)                                      |                      |                 |   |                  |                  | (2)              | (2)             | Common Stock | 4,573.954 (3)              |
| 2014                   |  |                      |                 |   |                  |                  |                  |                 |              |                            |
| Restricted Stock Units | (1)                                      |                      |                 |   |                  |                  | (2)              | (2)             | Common Stock | 2,713.593 (3)              |
| 08/2013                |  |                      |                 |   |                  |                  |                  |                 |              |                            |
| Restricted Stock Units | (1)                                      |                      |                 |   |                  |                  | (2)              | (2)             | Common Stock | 3,794.602 (3)              |
| 03/2013                |  |                      |                 |   |                  |                  |                  |                 |              |                            |
| Restricted Stock Units | (1)                                      |                      |                 |   |                  |                  | (2)              | (2)             | Common Stock | 1,721.848 (3)              |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Grubisich Jose C<br>RUA GAL. FURTADO DO NASCIMENTO<br>66-ALTO DE PINHEIROS<br>SAO PAULO - SP, D5 05465 070 | X             |           |         |       |

## Signatures

Robert L. Hayter, by Power of Attorney  
01/03/2017

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each restricted stock unit represents a right to receive one share of the Company's common stock.
- (2) The restricted stock units vest in four equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person either upon vesting, or if reporting person elected to defer receipt, following cessation as a director.
- (3) Includes dividend equivalents units through December 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.