

HALLIBURTON CO  
Form 4  
September 06, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LESAR DAVID J**

(Last) (First) (Middle)  
  
3000 N. SAM HOUSTON  
PARKWAY E.  
  
(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**HALLIBURTON CO [HAL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/05/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman, Pres. and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |
| Common Stock                    | 09/05/2013                           |  | S                              | D   | 5,362<br>(1) \$ 50  | D  |   |
| Common Stock                    | 09/05/2013                           |  | M                              | A   | \$ 33.17  | D  |   |
| Common Stock                    | 09/05/2013                           |  | S                              | D   | 50,000<br>(1) \$ 50   | D  |   |
| Common Stock                    | 09/05/2013                           |  | S                              | D   | 6,619<br>(2) \$ 50  | I  | By Spouse   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|---|-----------------|--------------|----------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D) | Date Exercisable  | Expiration Date | Title        | Amount or Number of Shares |
| Option to Buy Common Stock                 | \$ 33.17   | 09/05/2013                           |  | M                              |   | 50,000<br>(3)  |     | 12/06/2006  | 12/06/2016      | Common Stock | 50,000                     |
| Option to Buy Common Stock                 | \$ 32.39   |                                      |  |                                |   |  |     | 12/07/2005  | 12/07/2015      | Common Stock | 80,000                     |
| Option to Buy Common Stock                 | \$ 33.5  |                                      |  |                                |   |  |     | 12/05/2012  | 12/05/2022      | Common Stock | 208,900                    |
| Option to Buy Common Stock                 | \$ 35.57   |                                      |  |                                |   |  |     | 12/06/2011  | 12/06/2021      | Common Stock | 141,900                    |
| Option to Buy Common Stock                 | \$ 39.19   |                                      |  |                                |   |  |     | 12/01/2010  | 12/01/2020      | Common Stock | 108,000                    |
| Option to Buy Common Stock                 | \$ 29.35   |                                      |  |                                |   |  |     | 12/01/2009  | 12/01/2019      | Common Stock | 128,400                    |
| Option to Buy Common Stock                 | \$ 36.9  |                                      |  |                                |   |  |     | 12/05/2007  | 12/05/2017      | Common Stock | 110,700                    |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |                               |       |
|--|---------------|-----------|-------------------------------|-------|
|  | Director      | 10% Owner | Officer                       | Other |
| LESAR DAVID J<br>3000 N. SAM HOUSTON PARKWAY E.<br>HOUSTON, TX 77032 | X             |           | Chairman,<br>Pres. and<br>CEO |       |

## Signatures

Robert L. Hayter, by Power of Attorney  
09/06/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on April 29, 2013.  
The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person's Spouse on April 29, 2013.
  - (2) Reporting Person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the Reporting Person is the beneficial owner of these securities for purposes of this Section 16 or for any other purpose.
  - (3) Options disposed of through exercise pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on April 29, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.