Edgar Filing: Ally Financial Inc. - Form 4

| Ally Financia | ll Inc. | | | | | | | | | | |
|--|--------------------------------------|--|---|---|------------|------------------|--|---|------------------------|--------------|--|
| Form 4 | | | | | | | | | | | |
| December 20, 2016 | | | | | | | | | | | |
| FORM | 4 UNITED S | UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB APPROVAL | |
| | | Washington, D.C. 20549 | | | | | | | | | |
| Check this if no longe subject to Section 16 Form 4 or Form 5 | er STATEM 5. Filed purs | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | | |
| obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or S 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | | 1 | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Halmy Christopher A | | | 2. Issuer Name and Ticker or Trading Symbol Ally Financial Inc. [ALLY] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | • | | | | | (Check all applicable) | | | |
| (Last) (First) (Middle) 500 WOODWARD AVENUE | | | Date of Earliest Transaction (Month/Day/Year) 12/16/2016 | | | | | Director 10% Owner Officer (give title Other (specify below) below) below) Chief Financial Officer | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| DEIROII, M | VII 40220 | | | | | | | Person | | | |
| (City) | (State) (| (Zip) | Tabl | e I - Non-E | Derivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Exe any (Moth | | | Date, if | Code (Instr. 3, 4 and 5) (Instr. 8) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | | |
| - | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock (1) | 12/16/2016 | | | М | 1,155 | А | \$0 \$ | 162,904 | D | | |
| Common Stock | 12/16/2016 | | | D | 1,155 | D | \$ 19.85 (2) | 161,749 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | | 8. Pric Deriva Securi (Instr. |
|---|---|---|--|---|--|--------------------|-----------------|--|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Deferred Stock Units (DSU) | (3) | 12/16/2016 | М | 1,155 | <u>(3)</u> | <u>(3)</u> | Common Stock | 1,155 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Halmy Christopher A 500 WOODWARD AVENUE DETROIT, MI 48226 | | | Chief Financial Officer | | | | |
| Signatures | | | | | | | |
| /s/ Donna M. DiCicco, attorney | -in-fact fo | or Mr. | 10/00/001 | | | | |

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Halmy

<u>**</u>Signature of Reporting Person Date
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Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The common stock reported includes shares issued upon the settlement of a portion of DSUs awarded in prior periods.
- (2) Represents the per share fair market value of the Company's common stock as of December 7, 2016.
- Each Deferred Stock Unit (DSU) represents a vested right to receive the value of one share of the Company's common stock in cash equal
- (3) to the fair market value of a share of the Company's common stock. DSUs do not have an expiration or exercise date or carry a conversion price. May reflect rounding of fractional shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.