Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

COMMUNITY TRUST BANCORP INC /KY/

Form 4

December 08, 2014

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

COMMUNITY TRUST BANCORP

Symbol

INC /KY/ [CTBI]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Print or Type Responses)

JONES LARRY W

1. Name and Address of Reporting Person *

1(b).

(Last)	` '	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)				_	Director _X Officer (give elow)		Owner er (specify	
100 EAST VINE STREET			12/04/2014				Ü	Executive Vice President			
	(Street)		Filed(Month/Day/Year)				A	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
LEXINGTON, KY 40507-1406					Form filed by More than One Reporting Person					porting	
(City)	(State)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8)	4. Securiti corr Dispose (Instr. 3, 4	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)	12/04/2014			M	1,282.5	A	\$ 28.073	9,712.5	D		
Common Stock	12/04/2014			M	1,282.5	A	\$ 28.073	10,995	D		
Common Stock	12/08/2014			S	750	D	\$ 36.5	10,245	D		
Common Stock	12/08/2014			S	1,815	D	\$ 36.36	8,430	D		
Common Stock								207.0851	I	By: ESOP	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Option (2)	\$ 28.073 (3)	12/04/2014		M		1,282.5 (3)	01/28/2008	01/28/2015	Common Stock	1,282.5 (3)
Option (2)	\$ 28.073 (3)	12/04/2014		M		1,282.5 (3)	01/28/2009	02/28/2015	Common Stock	1,282.5 (3)
Option (2)	\$ 29.491 (4)						01/27/2007	01/27/2016	Common Stock	1,271.2: (4)
Option (2)	\$ 29.491 (4)						01/27/2008	01/27/2016	Common Stock	1,271.2: (4)
Option (2)	\$ 29.491 (4)						01/27/2009	01/27/2016	Common Stock	1,271.2: (4)
Option (2)	\$ 29.491 (4)						01/27/2010	01/27/2016	Common Stock	1,271.2: (4)
Option (5)	\$ 35.409 (6)						01/23/2008	01/23/2017	Common Stock	1,390.2: (6)
Option (5)	\$ 35.409 (6)						01/23/2009	01/23/2017	Common Stock	1,390.2: (6)
Option (5)	\$ 35.409 (6)						01/23/2010	01/23/2017	Common Stock	1,390.2: (6)
Option (5)	\$ 35.409 (6)						01/23/2011	01/23/2017	Common Stock	1,390.2: (6)

Reporting Owners

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	

Reporting Owners 2

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JONES LARRY W 100 EAST VINE STREET LEXINGTON, KY 40507-1406

Executive Vice President

Signatures

Larry W. Jones By: Marilyn T. Justice, Attorney-in-Fact

12/08/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On April 29, 2014, CTBI declared a 10% stock dividend payable to all holders of common stock on record date May 15, 2014, payable June 2, 2014. As a result, the reporting person received an additional 766 shares directly.
- (2) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 1998 Stock Option Plan).
- (3) Option previously reported as covering 1,166 shares @\$30.88 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (4) Option previously reported as covering 1,155.75 shares @\$32.44 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (5) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).
- (6) Option previously reported as covering 1,263.75 shares @\$38.95 per share, adjusted to reflect the 10% stock dividend effective 06/02/14. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3