Edgar Filing: CANNON MARC G - Form 4

| CANNON M Form 4 March 05, 20 | | | | | | | | | | | |
|--|---|---|---|---------------------------------|--|-----------------|--|--|--|------------------------|--|
| FORM | Л | | | | | | | | OMB AF | PPROVAL | |
| UNITED STATES SECO | | | | URITIES AND EXCHANGE COMMISSION | | | | | OMB Number: | 3235-0287 | |
| Check thi if no long subject to Section 1 | stateM | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Expires: Estimated a | | |
| Form 4 or Form 5 obligation may cont See Instru 1(b). | Filed pure Section 17(a | a) of the l | Public U | 6(a) of the | e Securit ling Con | ipany | Act of | e Act of 1934, 1935 or Sectior 0 | burden hou response | rs per 0.5 | |
| (Print or Type F | Responses) | | | | | | | | | | |
| CANNON MARC G Symbol | | | er Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | NATION, INC. [AN] f Earliest Transaction | | | | (Check all applicable) | | | | |
| (Month/D 200 SW 1ST AVE, SUITE 1600 03/01/20 | | | | onth/Day/Year) /01/2019 | | | | Director 10% Owner Officer (give title Other (specify below) EVP & CMO | | | |
| | | | | endment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| FORT LAU | DERDALE, FL 3 | 33301 | Filed(Mor | th/Day/Year) |) | | | Applicable Line) _X_ Form filed by O Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Transaction Date 2A. Deemed | | | 4. Securit n(A) or Di (Instr. 3, | ties A spose | cquired d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | |
| Common Stock, par value \$0.01 | 03/01/2019 | | | Code V M | Amount 3,576 | (D) A | Price (<u>1</u>) | (Instr. 3 and 4) 21,407 | D | | |
| per share | | | | | | | | | | | |
| Common Stock, par value \$0.01 per share | 03/01/2019 | | | Μ | 3,729 | А | <u>(1)</u> | 25,136 | D | | |
| Common Stock, par value \$0.01 per share | 03/01/2019 | | | F | 3,014 | D | \$ 34.89 | 22,122 | D | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deriv Secu (Inst |
|---|---|---|---|--|---|--|--------------------|---|--|---------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>U)</u> | 03/01/2019 | | М | 3,576 | (2) | (2) | Common Stock, par value \$0.01 per share | 3,576 | \$ |
| Restricted Stock Units | <u>(1)</u> | 03/01/2019 | | М | 3,729 | (3) | (3) | Common Stock, par value \$0.01 per share | 3,729 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|------------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| CANNON MARC G | | | | | | |
| 200 SW 1ST AVE | | | EVP & | | | |
| SUITE 1600 | | | CMO | | | |
| FORT LAUDERDALE, FL 33301 | | | | | | |
| Signatures | | | | | | |
| /s/ C. Coleman Edmunds, Attorney-in-Fact | | 03/05/2019 | | | | |
| **Signature of Reporting Person | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units converted into shares of AutoNation common stock on a one-for-one basis.

The reporting person received a grant of 14,303 restricted stock units on March 1, 2017, subject to stockholder approval of the AutoNation, Inc. 2017 Employee Equity and Incentive Plan, which was obtained on April 19, 2017. The restricted stock units vest in 25%

(2) annual increments on each of the first four anniversaries of March 1, 2017, subject to continued employment with the registrant. Each restricted stock unit represents a contingent right to receive one share of AutoNation common stock, or at AutoNation's election, the cash value thereof.

The reporting person received a grant of 14,917 restricted stock units on March 1, 2018. The restricted stock units vest in 25% annual increments on each of the first four anniversaries of March 1, 2018, subject to continued employment with the registrant. Each restricted

(3) Increments on each of the first four anniversaries of Match 1, 2018, subject to continued employment with the registrant. Each resulted stock unit represents a contingent right to receive one share of AutoNation common stock, or at AutoNation's election, the cash value thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.