Edgar Filing: DEERE & CO - Form 4

| DEERE & CO | 0 | | | | | | | | | |
|---|-------------------------|--|---|--|----------|--|--|---|---|--|
| Form 4 | | | | | | | | | | |
| December 15 | , 2016 | | | | | | | | | |
| FORM | $ 4 _{\text{UNITED S}}$ | TATES SECU | DITIES AT | ND FY(| ינגעי | NCE | COMMISSION | т | PPROVAL | |
| | UNITEDS | | ashington, | | | NGE V | | OMB Number: | 3235-0287 | |
| Check this if no long | | | Expires: | January 31 2005 | | | | | | |
| subject to Section 16 | ENT OF CHA | NGES IN I SECUR | | CIA | LOW | NERSHIP OF | Estimated burden hou | average | | |
| Form 4 or | | | | | response | • | | | | |
| Form 5 obligation may conti <i>See</i> Instru 1(b). | nue. Section 17(a) | uant to Section 16(a) of the Securities Exchange Act of 1934,) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | |
| 1. Name and Ad Gilles Jean H | Symbol | er Name and E & CO [D | | Fradin | g | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (M | | | | | | (Check all applicable) | | | |
| ONE JOHN | (Month/ | (Month/Day/Year) 12/14/2016 | | | | Director 10% Owner X Officer (give title Other (specify below) below) Senior Vice President | | | | |
| | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| MOLINE, II | _ 61265 | | | | | | _X_ Form filed by Form filed by I Person | One Reporting Porting Portion of the Report | | |
| (City) | (State) (Z | Zip) Tal | ole I - Non-De | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | | Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| \$1 Par | | | Coue v | Amount | (D) | Thee | | | | |
| Common Stock (1) | 12/14/2016 | | А | 4,266 | А | \$0 | 65,027 <u>(2)</u> | D | | |
| \$1 Par Common Stock | | | | | | | 12 | I | By Son | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4)8((| | 8 E S (1 |
|---|---|---|---|--|--------|-----|--|--------------------|--|-------------------------------------|-------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Market Priced Options (3) | \$ 100.55 | 12/14/2016 | | А | 24,580 | | (4) | 12/14/2026 | Common Stock | 24,580 | |

Reporting Owners

| Reporting Owner Name / Address | |] | | | | | |
|--|----------|-----------|---------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| Gilles Jean H ONE JOHN DEERE PLACE MOLINE, IL 61265 | | | | | | | |
| Signatures | | | | | | | |
| /s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney | | | | | | | |

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of restricted stock units under the John Deere Omnibus Equity and Incentive Plan. Awards include the ability to withhold shares (1) upon conversion to satisfy tax obligations.
- (2) Includes 34,706 restricted stock units under the John Deere Omnibus Equity and Incentive Plan to be settled solely in shares.
- (3) All options include the ability to withhold shares upon the exercise of the option to satisfy income tax obligations.
- (4) The options become exercisable in three approximately equal installments on December 14, 2017, 2018, and 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date