

DIEBOLD INC
Form 4
February 05, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rutt Sheila M

(Last) (First) (Middle)

C/O DIEBOLD,
INCORPORATED, 5995 MAYFAIR
ROAD

(Street)

NORTH CANTON, OH 44720

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
DIEBOLD INC [DBD]

3. Date of Earliest Transaction
(Month/Day/Year)
02/03/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
VP, Chief HR Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | | | | | 11,683 | I | Revocable Trust ⁽¹⁾ |
| Common Stock | | | | | 525 | I | 401(k) ⁽²⁾ |
| Common Stock | 02/03/2016 | | A | 2,659 ⁽³⁾ A \$ 27.39 | 33,248 ⁽⁴⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Non-Qualified Stock Option <u>(5)</u> | \$ 39.43 | | | | | 02/20/2007 02/19/2016 | Common Stock | 8, |
| Non-Qualified Stock Option <u>(5)</u> | \$ 47.27 | | | | | 02/14/2008 02/13/2017 | Common Stock | 7, |
| Non-Qualified Stock Option <u>(5)</u> | \$ 32.67 | | | | | 02/10/2012 02/09/2021 | Common Stock | 12 |
| Non-Qualified Stock Option <u>(5)</u> | \$ 34.89 | | | | | 02/08/2013 02/07/2022 | Common Stock | 16 |
| Non-Qualified Stock Option <u>(6)</u> | \$ 29.87 | | | | | 02/06/2014 02/05/2023 | Common Stock | 8, |
| Non-Qualified Stock Option <u>(6)</u> | \$ 34.13 | | | | | 02/12/2015 02/12/2024 | Common Stock | 15 |
| Non-Qualified Stock Option <u>(6)</u> | \$ 32.33 | | | | | 02/05/2016 02/05/2025 | Common Shares | 18 |
| Non-Qualified Stock Option <u>(6)</u> | \$ 27.39 | 02/03/2016 | | A | 19,553 | 02/03/2017 02/03/2026 | Common Shares | 19 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Rutt Sheila M
C/O DIEBOLD, INCORPORATED
5995 MAYFAIR ROAD
NORTH CANTON, OH 44720

VP, Chief HR Officer

Signatures

Mary M. Swann, Attorney-in-fact for Sheila
M. Rutt

02/05/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of shares held by filer in a revocable trust over which filer has control.
- (2) Number of 401(k) shares owned as of most current statement; fractional shares omitted.
- (3) Award of restricted stock units; each restricted stock unit represents a contingent right to receive one share of Diebold Incorporated common stock.
- (4) Number includes restricted stock units.
- (5) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 25% beginning one year from date of grant.
- (6) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.