

Port Ronald L
Form 3
January 23, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Port Ronald L

(Last)

(First)

(Middle)

600 GRANT STREET,Â SUITE
5100

(Street)

PITTSBURGH,Â PAÂ 15219

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/16/2018

3. Issuer Name **and** Ticker or Trading Symbol
KENNAMETAL INC [KMT]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner

☒ Officer ☐ Other
(give title below) (specify below)

Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

2,707

D

Â

Common Stock

1,229 ⁽¹⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: Port Ronald L - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Performance Units	Â (2)	Â (2)	Common Stock	2,055	\$ (3)	D	Â
Performance Units	Â (4)	Â (4)	Common Stock	2,393	\$ (3)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	1,064	\$ (3)	D	Â
Restricted Stock Units	Â (6)	Â (6)	Common Stock	789	\$ (3)	D	Â
Restricted Stock Units	Â (6)	Â (6)	Common Stock	3,082	\$ (3)	D	Â
Restricted Stock Units	Â (6)	Â (6)	Common Stock	3,590	\$ (3)	D	Â
Stock Options	Â (7)	08/01/2025	Common Stock	10,373	\$ (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Port Ronald L 600 GRANT STREET SUITE 5100 PITTSBURGH, PA 15219	Â	Â	Â Vice President	Â

Signatures

Michelle R.
Keating

01/23/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents 1,229 shares deemed to have been earned by the Compensation Committee on August 1, 2017 with respect to the first tranche of the Performance Unit Award granted to the reporting person on August 1, 2016 under the Amended and Restated Kennametal Stock and Incentive Plan of 2010 (the "2016 Performance Unit Award".) The vesting and actual payout of these shares remains subject to the reporting person's continued employment with the Company through August 1, 2019 with respect to the 2016 Performance Unit Award.

(2) Performance unit awards were granted to the reporting person on August 1, 2016, under the Amended and Restated Kennametal Stock and Incentive Plan of 2010; one-third of the performance stock units underlying the award may be earned each year if the Company's performance relative to the goals for that year are met and subject to the reporting person's continued employment with the Company.

(3) 1 - for - 1

Edgar Filing: Port Ronald L - Form 3

- Performance unit awards were granted to the reporting person on August 1, 2017, under the Kennametal Stock and Incentive Plan of
- (4) 2016; one-third of the performance stock units underlying the award may be earned each year if the Company's performance relative to the goals for that year are met and subject to the reporting person's continued employment with the Company.
 - (5) Restricted stock units are subject to time based vesting and are disbursed in four equal annual installments commencing on the first anniversary of the grant date, subject to continued employment with the Company.
 - (6) Restricted stock units are subject to time based vesting and are disbursed in three equal annual installments commencing on the first anniversary of the grant date, subject to continued employment with the Company.
 - (7) Stock options are exercisable in three equal installments, commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.