Durr Julie J Form 5 January 28, 2019

## FORM 5

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0362 Number:

no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box if

January 31, Expires: 2005 Estimated average

### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per response... 1.0

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4 Transactions 30(h) of the Investment Company Act of 1940

Reported 1. Name and Address of Reporting Person \*

Ann S. Gerdin Revocable Trust

2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Symbol

HEARTLAND EXPRESS INC [HTLD]

(Check all applicable)

(Middle) (Last) (First)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)

Director \_X\_\_ 10% Owner Officer (give title \_X\_ Other (specify below) below)

Co-Trustee of 10% Owner

12/31/2018

901 NORTH KANSAS AVENUE

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

### NORTH LIBERTY, Â IAÂ 52317

Form Filed by One Reporting Person \_X\_ Form Filed by More than One Reporting Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Transaction Security (Month/Day/Year) Execution Date, if or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership of Issuer's or Indirect (Instr. 4) Fiscal Year (I) (A) (Instr. 3 and (Instr. 4) or Price Amount (D) Common Â Â 01/19/2018  $G^{(1)}$ \$0 9,420,261  $D^{(2)}$ 697,884 A Stock Common 01/19/2018 Â  $G^{(1)}$ 475,319 Α \$0 9,895,580  $D^{(2)}$ Â Stock Common Â Â 12/07/2018  $G^{(1)}$ 1,094,411 A \$0 10,989,991  $D^{(2)}$ Stock Common Â Â  $G^{(1)}$ 12/07/2018 \$0  $D^{(2)}$ 745,004 Α 11,734,995 Stock

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Common Stock	12/07/2018	Â	$G^{(1)}$	539,605	A	\$ 0	12,274,600	D (2)	Â
Common Stock	12/07/2018	Â	G <u>(1)</u>	469,509	A	\$ 0	7,963,626	I	Co-Trustee of Trust (3)
Common Stock	Â	Â	Â	Â	Â	Â	38,424	I	Trustee of Trusts (4)
Common Stock	Â	Â	Â	Â	Â	Â	38,424	I	Trustee of Trusts (5)
Common Stock	Â	Â	Â	Â	Â	Â	5,003,805	I	Co-Trustee of Trust (6)
Common Stock	Â	Â	Â	Â	Â	Â	7,813	I	By Spouse
Common Stock	Â	Â	Â	Â	Â	Â	1,936,276	I	Co-General Partner of Partnership
Common Stock	Â	Â	Â	Â	Â	Â	681,124	D (9)	Â
Common Stock	Â	Â	Â	Â	Â	Â	681,124	D (10)	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative	of
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	D
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	•		Securi	ities	(Instr. 5)	Se
	Derivative				Securities			(Instr.	3 and 4)		В
	Security				Acquired						О
					(A) or						Eı
					Disposed						Is
					of (D)						Fi
					(Instr. 3,						(I
					4, and 5)						
									Amount		
						Date Exercisable	Expiration Date	Title	or Number of		
					(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

Ann S. Gerdin Revocable Trust 901 NORTH KANSAS AVENUE NORTH LIBERTY, IA 52317	Â	ÂX	Â	Co-Trustee of 10% Owner
Janssen Angela K 901 NORTH KANSAS AVENUE NORTH LIBERTY, IA 52317	Â	Â	Â	Co-Trustee of 10% Owner
Gerdin Ann S 901 NORTH KANSAS AVENUE NORTH LIBERTY, IA 52317	Â	ÂX	Â	Â
Durr Julie J 901 NORTH KANSAS AVENUE NORTH LIBERTY. IA 52317	Â	Â	Â	Co-Trustee of 10% Owner

# **Signatures**

/s/Michael Gerdin, Co-Trustee of the Ann S. Gerdin Revocable Trust, by Chris Strain, pursuant to power of attorney previously filed					
**Signature of Reporting Person	Date				
/s/Angela K. Janssen, by Chris Strain, pursuant to power of attorney previously filed	01/28/2019				
**Signature of Reporting Person	Date				
/s/Julie J. Durr, by Chris Strain, pursuant to power of attorney previously filed					
**Signature of Reporting Person	Date				
/s/ Ann S. Gerdin, by Chris Strain, pursuant to power of attorney previously filed	01/28/2019				
**Signature of Reporting Person	Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annuity distributions made from grantor retained annuity trusts created for the benefit of Ann Gerdin.
- Michael Gerdin, Mrs. Durr, Mrs. Janssen, and Mrs. Gerdin serve as co-trustees over shares held by the Ann S. Gerdin Revocable Trust.

  (2) As co-trustees, they have shared voting and dispositive powers with respect to this trust. Beneficial ownership is disclaimed for Mr. Gerdin, Mrs. Durr, and Mrs. Janssen.
  - Mr. Gerdin, Mrs. Durr, and Mrs. Janssen are the beneficiaries of the 2009 Gerdin Heartland Trust. Remainder interests were distributed from grantor retained annuity trusts created for the benefit of Mrs. Gerdin to the 2009 Gerdin Heartland Trust. Mr. Gerdin, one of the
- (3) three beneficiaries of the 2009 Gerdin Heartland Trust also reported the distribution of the remainder interests. Mr. Gerdin, Mrs. Durr, and Mrs. Janssen serve as co-trustees over shares held by the trust. Beneficial ownership is disclaimed with respect to this trust because none of the trustees have the power to vote or dispose shares without consent of the other two trustees.
- (4) Mrs. Janssen is the trustee of trusts created for the benefit of her children. As trustee, Mrs. Janssen has voting and dispositive powers with respect to this trust. Beneficial ownership is disclaimed.
- (5) Mrs. Durr is the trustee of trusts created for the benefit of her children. As trustee, Mrs. Durr has voting and dispositive powers with respect to this trust. Beneficial ownership is disclaimed.
  - Mr. Gerdin, Mrs. Durr, and Mrs. Janssen are the beneficiaries of the 2007 Gerdin Heartland Trust. Mr. Gerdin, Mrs. Durr, and Mrs.
- (6) Janssen serve as co-trustees over shares held by the trust. Beneficial ownership is disclaimed with respect to this trust because none of the trustees have the power to vote or dispose shares without the consent of the other two trustees.
- (7) Mrs. Janssen's husband owns these shares. Beneficial ownership of these shares is disclaimed.

(8)

Signatures 3

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The Ann S. Gerdin Revocable Trust, Mr. Gerdin, Mrs. Durr, and Mrs. Janssen are co-general partners of Gerdin Family Investments LP. None of the Ann S. Gerdin Revocable Trust, Mr. Gerdin, Mrs. Durr, or Mrs. Janssen has voting and dispositive powers with respect to this partnership without consent of the majority of the other co-general partners. Beneficial ownership is disclaimed except to the extent of each such reporting person's percentage ownership of general and limited partner shares in the partnership.

- (9) Mrs. Janssen's directly owned shares.
- (10) Mrs. Durr's directly owned shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.