GLAXOSMITHKLINE PLC Form 6-K April 17, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For period ending 17 April 2018

GlaxoSmithKline plc (Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F

Form 20-F x Form 40-F

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Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

# GlaxoSmithKline plc (the 'Company')

## GlaxoSmithKline plc (the 'Company')

#### Transaction notification

1.	Details of PDMR/person closely associated with them ('PCA')			
a)	Name	Ms E Walmsley		
b)	Position/status	Chief Executive Officer		

c) Initial notification/ amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793 Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares')

a) Description of the financial instrument ISIN: GB0009252882

Acquisition of Ordinary Shares following the re-investment of dividends b) Nature of the transaction paid to shareholders on 12 April 2018 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan. Price(s) Volume(s) £14.296 248.648 £14.296 248.630 c) Price(s) and volume(s) £14.296 270.719 £14.296 270.702

£14.296

947.431

d) Aggregated information

## Aggregated volume Price

1,986.129 £14.296

e) Date of the transaction 2018-04-12

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr R G Connor

b) Position/status President, Global

Manufacturing & Supply

c) Initial notification/

amendment

Initial notification

2. Details of the issuer, emission allowance market participant, auction

platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares')

a) Description of the financial instrument

ISIN: GB0009252882

Acquisition of Ordinary Shares following the re-investment of dividends

b) Nature of the transaction paid to shareholders on 12

April 2018 on Ordinary

Shares held in the Company's Deferred Annual Bonus Plan.

Price(s) Volume(s) £14.296 168.936

£14.296 140.960

c) Price(s) and volume(s)

£14.296 140.944

£14.296 200.719

d) Aggregated information

Aggregated volume Price

651.559 £14.296

e) Date of the transaction 2018-04-12 Place of the transaction London Stock Exchange (XLON) 1. Details of PDMR/person closely associated with them ('PCA') Mr L Debruyne a) Name b) Position/status President, Global Vaccines Initial notification/ Initial notification amendment Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor a) Name GlaxoSmithKline plc b) LEI 5493000HZTVUYLO1D793 Details of the transaction(s): section to be repeated for (i) each type of 3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted Ordinary shares of 25 pence each ('Ordinary Shares') a) Description of the financial instrument ISIN: GB0009252882 Acquisition of Ordinary Shares following the re-investment of dividends b) Nature of the transaction paid to shareholders on 12 April 2018 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan. Price(s) Volume(s) £14.296 236.924 c) Price(s) and volume(s) £14.296 236.907 £14.296 300.644 Aggregated information d) 774.475 £14.296 Aggregated volume Price e) Date of the transaction 2018-04-12

1. Details of PDMR/person closely associated with them ('PCA')

London Stock Exchange

(XLON)

a) Name Mr S Dingemans

Place of the transaction

f)

b) c)	Position/status Initial notification/	Chief Financial Officer Initial notification				
2.	amendment  Details of the issuer, emission allowance platform, auctioneer or auction monitor					
a) b)	Name LEI		TVUŶLO1D793			
3.	Details of the transaction(s): section to be repeated for (i) each instrument; (ii) each type of transaction; (iii) each date; and (iv place where transaction(s) has been conducted					
a)	Description of the financial instrument	Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882				
b)	Nature of the transaction	Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 12 April 2018 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan. Price(s) Volume(s) £14.296 328.359				
c)	Price(s) and volume(s)	£14.296	328.341			
		£14.296	245.940			
		£14.296	245.940			
		£14.296	670.469			
d)	Aggregated information					
	Aggregated volume Price	1,819.049 £14.296				
e) f)	Date of the transaction Place of the transaction	2018-04-12 London Stock Exchange (XLON)				
1. a)	Details of PDMR/person closely associate Name	Details of PDMR/person closely associated with them ('PCA')				
	Position/status	SVP, Globa	Mr N Hirons SVP, Global Ethics &			
c)	Initial notification/ amendment	Compliance Initial notifi				

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor a) Name GlaxoSmithKline plc b) LEI 5493000HZTVUYLO1D793 Details of the transaction(s): section to be repeated for (i) each type of 3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted Ordinary shares of 25 pence each ('Ordinary Shares') a) Description of the financial instrument ISIN: GB0009252882 Acquisition of Ordinary Shares following the re-investment of dividends b) Nature of the transaction paid to shareholders on 12 April 2018 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan. Volume(s) Price(s) £14.296 121.408 £14.296 121.408 c) Price(s) and volume(s) £14.296 89.098 £14.296 89.082 £14.296 105.267 Aggregated information d) 526.263 Aggregated volume Price £14.296 e) Date of the transaction 2018-04-12 Place of the transaction London Stock Exchange (XLON) 1. Details of PDMR/person closely associated with them ('PCA') Mr L Miels a) Name President, Global b) Position/status **Pharmaceuticals** Initial notification/ Initial notification c) amendment Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor a) Name GlaxoSmithKline plc b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares')

a) Description of the financial instrument ISIN: GB0009252882

**Acquisition of Ordinary** 

Shares following the

re-investment of dividends

b) Nature of the transaction paid to shareholders on 12

April 2018 on Ordinary

Shares held in the Company's Deferred Annual Bonus Plan.

Price(s) Volume(s)

c) Price(s) and volume(s) £14.296 190.616

Aggregated information n/a (single transaction)

d)

Aggregated volume Price

e) Date of the transaction 2018-04-12

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr B McNamara

b) Position/status CEO, GSK Consumer

Healthcare

c) Initial notification/ Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

platform, adetroneer of adetron monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

American Depositary Shares

('ADSs')

a) Description of the financial instrument ISIN: US37733W1053

Acquisition of ADSs

following the re-investment

of dividends paid to

b) Nature of the transaction shareholders on 12 April

2018 on ADSs held in the Company's Deferred Annual

Bonus Plan.

c)	Price(s) and volume(s)	Price(s) \$40.62 \$40.62	Volume(s) 102.618 100.616	
d) e) f)	Aggregated information  Aggregated volume Price  Date of the transaction  Place of the transaction	203.235 \$40.62 2018-04-12 New York S (XNYS)	stock Exchange	
b) c) 2. a) b) 3.	Details of PDMR/person closely associate Name Position/status Initial notification/ amendment Details of the issuer, emission allowance platform, auctioneer or auction monitor Name LEI Details of the transaction(s): section to be instrument; (ii) each type of transaction; place where transaction(s) has been conducted.	Mr D S Red Chief Strate Initial notifi e market parti GlaxoSmith 5493000HZ be repeated fo (iii) each dat ducted	fern gy Officer cation cipant, auction  Kline plc TVUYLO1D793 r (i) each type of e; and (iv) each ares of 25 pence ary Shares')	
b)	Nature of the transaction	Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 12 April 2018 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan. Price(s) Volume(s) £14.296 154.513		
c)	Price(s) and volume(s)	£14.296 £14.296 £14.296 £14.296	154.513 112.131 112.115 194.831	

	Aggregated information				
d)	Aggregated volume Price	728.103 £14.296			
e) f)	Date of the transaction Place of the transaction	2018-04-12 London Stoc (XLON)	ck Exchange		
1. a) b)	Details of PDMR/person closely associa Name Position/status	ted with them ('PCA') Ms C Thomas SVP, Human Resources			
c)	Initial notification/ amendment	Initial notifi	cation		
2.	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor				
	Name	GlaxoSmith			
b)	LEI  Details of the transaction(s): section to h		TVUYLO1D793		
3.	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted				
a)	Description of the financial instrument	Ordinary she each ('Ordin ISIN: GB00	•		
b)	Nature of the transaction	Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 12 April 2018 on Ordinary Shares held in the Company's Deferred Annual Bonus Plant Price(s) Volume(s) £14.296 226.048			
		£14.296	226.048		
c)	Price(s) and volume(s)	£14.296	168.773		
		£14.296	168.773		
		£14.296	211.772		

d) Aggregated information

## Aggregated volume Price

1,001.414 £14.296

e) Date of the transaction 2018-04-12

Place of the transaction London Stock Exchange

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Ms K Terrell

Chief Digital & Technology

b) Position/status Officer

Initial notification/ Initial notification c)

amendment

Details of the issuer, emission allowance market participant, auction

platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each

place where transaction(s) has been conducted

American Depositary Shares

('ADSs')

a) Description of the financial instrument

ISIN: US37733W1053

Acquisition of ADSs

following the re-investment

of dividends paid to

b) Nature of the transaction shareholders on 12 April

> 2018 on ADSs held in the Company's Deferred Annual

Bonus Plan.

Price(s) Volume(s)

\$40.62 34.022 c) Price(s) and volume(s)

Aggregated information

n/a (single transaction) d)

Aggregated volume Price

e) Date of the transaction 2018-04-12

Place of the transaction New York Stock Exchange f)

(XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr P C Thomson

b) Position/status President, Global Affairs

Initial notification/ Initial notification c)

amendment

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793 Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each

place where transaction(s) has been conducted

Ordinary shares of 25 pence

each ('Ordinary Shares')

a) Description of the financial instrument

ISIN: GB0009252882

Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 12 April 2018 on Ordinary

Shares held in the Company's Deferred Annual Bonus Plan.

Price(s) Volume(s) £14.296 138.304

c) Price(s) and volume(s) £14.296 106.250

> £14.296 131.812

Aggregated information

b) Nature of the transaction

d)

Aggregated volume Price 376.366

£14.296

e) Date of the transaction 2018-04-12

Place of the transaction London Stock Exchange f)

(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mr D E Troy

b) Position/status **SVP & General Counsel** 

Initial notification/

amendment

Initial notification

Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of

3. instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument American Depositary Shares

('ADSs')

ISIN: US37733W1053

Acquisition of ADSs

following the re-investment

of dividends paid to

b) Nature of the transaction shareholders on 12 April

2018 on ADSs held in the Company's Deferred Annual

Bonus Plan.

Price(s) Volume(s) \$40.62 131.482

\$40.62 131.482

c) Price(s) and volume(s) \$40.62 108.898

\$40.62 108.881

\$40.62 116.999

Aggregated information

d)

Aggregated volume Price 597.742

\$40.62

e) Date of the transaction 2018-04-12

Place of the transaction New York Stock Exchange

(XNYS)

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc (Registrant)

Date: April 17, 2018

By: VICTORIA WHYTE

Victoria Whyte

Authorised Signatory for and on behalf of GlaxoSmithKline plc