Siegel Kenneth M Form 4 October 03, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Siegel Kenneth M Issuer Symbol Nuance Communications, Inc. (Check all applicable) [NUAN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title \_Other (specify (Month/Day/Year) below) ONE WAYSIDE ROAD 09/30/2017 EVP & Chief Legal Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **BURLINGTON, MA 01803** Person

| (City)                               | (State)   | Zip) Table | e I - Non-D   | erivative | Secur            | ities Acq   | uired, Disposed of   | f, or Beneficial   | y Owned   |
|--------------------------------------|---|------------|---|-----------|------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |            | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |           |                  | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |            | Code V  | Amount    | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Common<br>Stock                      | 09/30/2017  |            | F(1)  | 7,515     | D                | \$<br>15.67 | 59,997   | D  |   |
| Common<br>Stock                      | 09/30/2017  |            | F(1)  | 1,878     | D                | \$<br>15.67 | 58,119   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

**OMB APPROVAL** 

3235-0287

January 31,

2005

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#### Edgar Filing: Siegel Kenneth M - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer |            | 7. Title        |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|------------|-----------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D | ate        | Amou            | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)      | Under           | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |            | Securi          | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |              |            | (Instr.         | 3 and 4) |             | Own    |
|             | Security    |                     |                    | Acquired   |            |              |            |                 |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |            |                 |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |            |                 |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |            |                 |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |            |                 |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |            |                 |          |             |        |
|             |             |                     |                    |            |            |              |            |                 |          |             |        |
|             |             |                     |                    |            |            |              |            |                 | Amount   |             |        |
|             |             |                     |                    |            |            | Date         | Expiration |                 | or       |             |        |
|             |             |                     |                    |            |            | Exercisable  | Date       | Title Number of | Number   |             |        |
|             |             |                     |                    |            |            | LACICISADIC  |            |                 | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |            |                 | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Siegel Kenneth M

ONE WAYSIDE ROAD **EVP & Chief Legal Officer** 

**BURLINGTON, MA 01803** 

### **Signatures**

By: /s/ Donna Belanger For: Kenneth M. 10/03/2017 Siegel

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were withheld by the Company to cover the tax liability due upon the vesting of the restricted stock award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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