Faltemier Sharon K Form 4 March 07, 2019

### FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

| 1. Name and A<br>Faltemier Sh          | ddress of Repo         | rting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol   | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|--|------------------------|----------------|--|---|--|--|
| (Last) (First) (Middle) 200 FLYNN ROAD |                        | (Middle)       | SEMTECH CORP [SMTC]  3. Date of Earliest Transaction | (Check all applicable)  |  |  |
|  |                        | (Madic)        | (Month/Day/Year) 03/05/2019                          | Director 10% Owner Officer (give title Other (specify below)                                      |  |  |
|  | (Street)               |                | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |
| CAMARILI<br>(City)                     | LO, CA 9301<br>(State) | (Zip)          |  | Form filed by More than One Reporting Person  |  |  |
| (City)                                 | (State)                | ( <b>Z</b> IP) | Table I Non Derivative Securities A                  | equired Disposed of or Reposicially Owned   |  |  |

| (- 3)      | (/                  | 1 able             | : 1 - Non-De | erivative S          | ecurities A    | equirea, Disposea  | oi, or Beneficial | ny Ownea     |
|------------|---------------------|--------------------|--------------|----------------------|----------------|--------------------|-------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.           | 4. Securiti          | ies Acquire    | 1 5. Amount of     | 6. Ownership      | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio   | on(A) or Disposed of |                | Securities         | Form: Direct      | Indirect     |
| (Instr. 3) |                     | any                | Code         | (D)                  |                | Beneficially       | (D) or            | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8)   | (Instr. 3, 4         | and 5)         | Owned              | Indirect (I)      | Ownership    |
|            |                     |                    |              |                      |                | Following          | (Instr. 4)        | (Instr. 4)   |
|            |                     |                    |              |                      | (4)            | Reported           |                   |              |
|            |                     |                    |              |                      | (A)            | Transaction(s)     |                   |              |
|            |                     |                    | Code V       | Amount               | or<br>(D) Pric | e (Instr. 3 and 4) |                   |              |
| Common     | 03/05/2019          |                    | A            | 16,471               | A \$0          | 35,635             | D                 |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Faltemier Sharon K - Form 4

| 1. Title of              | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number        | 6. Date Exerc               | cisable and | 7. Title an      | nd           | 8. Price  |
|--------------------------|-------------|---------------------|--------------------|------------|------------------|-----------------------------|-------------|------------------|--------------|-----------|
| Derivative               | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | ionof Derivative | Expiration Date             |             | Amount of        |              | Derivati  |
| Security                 | or Exercise |                     | any                | Code       | Securities       | Securities (Month/Day/Year) |             | Underlying       |              | Security  |
| (Instr. 3)               | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Acquired         | Acquired                    |             | Securities       |              | (Instr. 5 |
|                          | Derivative  |                     |                    |            | (A) or           | A) or                       |             | (Instr. 3 and 4) |              |           |
|                          | Security    |                     |                    |            | Disposed of      |                             |             |                  |              |           |
|                          |             |                     |                    |            | (D)              |                             |             |                  |              |           |
|                          |             |                     |                    |            | (Instr. 3, 4,    |                             |             |                  |              |           |
|                          |             |                     |                    |            | and 5)           |                             |             |                  |              |           |
|                          |             |                     |                    |            |                  |                             |             |                  | Amount       |           |
|                          |             |                     |                    |            |                  |                             |             |                  | Amount       |           |
|                          |             |                     |                    |            |                  | Date                        | Expiration  | Title            | or<br>Number |           |
|                          |             |                     |                    |            |                  | Exercisable Date            |             |                  | of           |           |
|                          |             |                     |                    | Code V     | (A) (D)          |                             |             |                  | Shares       |           |
|                          |             |                     |                    | Code v     | (A) (D)          |                             |             |                  | Shares       |           |
| Restricted<br>Stock Unit | <u>(1)</u>  | 03/05/2019          |                    | A          | 7,000            | (2)                         | (2)         | SMTC             | 7,000        | \$ 0      |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |
| Faltemier Sharon K             |               |           |         |       |  |  |  |

Faltemier Sharon K 200 FLYNN ROAD

**SVP HR** 

CAMARILLO, CA 93012-8790

### **Signatures**

/s/Sharon K. Faltemier by Charles B. Ammann under Power of Attorney dated February 25, 2014 (Copy On File)

03/07/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each stock unit is the economic equivalent of one share of Semtech common stock.
- (2) This grant vests in three annual installments beginning on March 5, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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