FORMFACTOR INC

Form 4 March 03, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** 

3235-0287 Number: January 31,

**OMB APPROVAL** 

Expires: 2005 Estimated average

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MERKADEAU STUART L Issuer Symbol FORMFACTOR INC [FORM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ \_\_Other (specify 7005 SOUTHFRONT ROAD 02/27/2015 below) SR VP GENERAL COUNSEL (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

### LIVERMORE, CA 94551

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount 12,423	(D)	Price	(Instr. 3 and 4)	_	
Stock	02/27/2015		M	<u>(1)</u>	A	\$ 0	53,280	D	
Common Stock	03/02/2015		S	4,731 (2)	D	\$ 10.146	48,549	D	
Common Stock	03/02/2015		S	5,000	D	\$ 10.2349 (3)	43,549	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Shares	\$ 0	02/27/2015		M	12,423	<u>(4)</u>	(5)	Common Stock	12,423

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

MERKADEAU STUART L 7005 SOUTHFRONT ROAD LIVERMORE, CA 94551

SR VP GENERAL COUNSEL

## **Signatures**

By: /s/ Michael M Ludwig, Attorney-in-Fact For: Stuart L Merkadeau

03/03/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents vested shares of common stock issued pursuant to the second conversion of one-half of the total units certified and earned **(1)** January 28, 2014 and February 27, 2014 in connection with a May 4, 2012 performance-based restricted stock unit award.
- Represents vested shares of common stock sold to satisfy certain tax obligations associated with the settlement of the restricted stock **(2)** units.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.2156 to \$10.2438 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or **(3)** the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- Represents the second and final vesting of 50% of the performance-based restricted stock units certified and earned January 28, 2014 and February 27, 2014 in connection with a May 4, 2012 performance-based RSU award. All stock will be released to the reporting **(4)** person on the vesting date or the first market trading day after the vesting date, during an open trading window under the Issuer's Insider Trading Policy thereafter, if the applicable vesting date is not on a market trading day during an open trading window.
- The restricted stock units released were settled in shares of common stock and were immediately cancelled upon settlement. **(5)**

Reporting Owners 2

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### **Remarks:**

### THE CONFIRMING STATEMENT GRANTING THE ATTORNEY-IN-FACT THE AUTHORITY TO EXECUTE AND FI

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.