#### Edgar Filing: Corning Natural Gas Holding Corp - Form 4

Corning Natural Gas Holding Corp Form 4 June 27, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Mirabito William Issuer Symbol Corning Natural Gas Holding Corp (Check all applicable) [cnig] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 330 WEST WILLIAM ST 06/23/2016 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting CORNING, NY 14830 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 1.Title of 3. 4. Securities 6. 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Beneficially Form: Direct Beneficial Disposed of (D) any (Month/Day/Year) (Instr. 3, 4 and 5) Owned Ownership (Instr. 8) (D) or Indirect (I) (Instr. 4) Following Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 6% Series A Cumulative 4,935 06/23/2016 (1)(3)С A \$25 4,935 D (2) Preferred Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series B Convertible Preferred Stock	\$ 20.75 (4)	06/23/2016	(1)(3)	С	1,351 (2)	06/23/2016	09/30/2026	Common Stock	1,351

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
I. S.	Director	10% Owner	Officer	Other			
Mirabito William 330 WEST WILLIAM ST CORNING, NY 14830	Х						
Signatures							
Stanley Sleve, Attorney in Fact Mirabito	t for Willi	am	06	5/27/2016			

# \*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Person received 47,586 shareholder subscription rights as a pro rata dividend to shareholders of record of the Issuer, Corning Natural Gas Holding Corporation ("Issuer" or "Holding Company") on April 14, 2016 ("Record Date"), with respect to the shares of

Date

(1) common stock owned directly by Reporting Person on the record date. Each basic subscription right permitted the shareholder to purchase 1/8th share of Issuer's 6% Series A Cumulative Preferred Stock, par value \$0.01 per share, for \$25.00 for each full share or 1/6th share of Issuer's 4.8% Series B Convertible Preferred Stock, par value \$0.01 per share for \$20.75 for each full share.

In connection with the transactions described in Note (1), Reporting Person exercised 39,480 basic subscription rights issued with respect to shares of common stock directly owned by Reporting Person to purchase 4,935 shares of Series A Cumulative Preferred Stock and

- (2) 8,106 basic subscription rights issued with respect to shares of common stock directly owned by Reporting Person to purchase 1,351 shares of Series B Convertible Preferred Stock.
- (3) Each share of Series B Convertible Preferred Stock is convertible at any time after issuance into one share of common stock, subject to adjustment under certain circumstances.
- (4) Oversubscription rights were provided. The subscription rights expired on June 20, 2016 and shares of Series A Cumulative Preferred Stock and Series B Convertible Preferred Stock issued as of the close of business on June 23, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.