

Hilltop Holdings Inc.  
Form 8-K  
January 24, 2019  
UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): January 24, 2019

Hilltop Holdings Inc.

(Exact name of registrant as specified in its charter)

|                 |              |                |
|-----------------|--------------|----------------|
| Maryland        | 1-31987      | 84-1477939     |
| (State or other | (Commission  | (IRS           |
| jurisdiction of |              | Employer       |
| incorporation)  | File Number) | Identification |
|                 |              | No.)           |

|                                          |            |
|------------------------------------------|------------|
| 2323 Victory Avenue, Suite 1400          |            |
| Dallas, Texas                            | 75219      |
| (Address of principal executive offices) | (Zip Code) |

Registrant's telephone number, including area code: (214) 855-2177

Edgar Filing: Hilltop Holdings Inc. - Form 8-K

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

---

## Section 2 – Financial Information

### Item 2.02 Results of Operations and Financial Condition.

On January 24, 2019, Hilltop Holdings Inc., or the Company, issued a press release announcing its results of operations and financial condition as of and for the three months and year ended December 31, 2018. The text of the release is set forth in Exhibit 99.1 attached to this Current Report on Form 8-K and is incorporated herein by reference.

The information in this Current Report on Form 8-K (including Exhibit 99.1) is being furnished pursuant to Item 2.02 and shall not be deemed to be “filed” for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, except as expressly set forth in such filing.

## Section 8 – Other Events

### Item 8.01 Other Events.

On January 24, 2019, the Board of Directors of the Company declared a quarterly cash dividend of \$0.08 per common share, payable on February 28, 2019, to stockholders of record as of the close of business on February 15, 2019.

Additionally, on January 24, 2019, the Board of Directors of the Company authorized a new stock repurchase program through January 2020. Under the program, the Company is authorized to repurchase, in the aggregate, up to \$50.0 million of its outstanding common stock in open-market purchases or through privately negotiated transactions as permitted under Rule 10b-18 promulgated under the Securities Exchange Act of 1934. The extent to which the Company repurchases its shares and the timing of such repurchases will depend upon market conditions and other corporate considerations, as determined by the Company’s management team. The purchases will be funded from available cash balances and include the repurchase of common shares to offset issuances under the employee compensation plan.

## Section 9 – Financial Statements and Exhibits

### Item 9.01 Financial Statements and Exhibits.

(a) Financial statements of businesses acquired.

Not applicable.

(b) Pro forma financial information.

Not applicable.

(c) Shell company transactions.

Not applicable.

(d) Exhibits.

The following exhibit(s) are filed or furnished, depending on the relative item requiring such exhibit, in accordance with the provisions of Item 601 of Regulation S-K and Instruction B.2 to this form.

Exhibit

| Number | Description of Exhibit |
|--------|------------------------|
|--------|------------------------|

|      |                                                                                |
|------|--------------------------------------------------------------------------------|
| 99.1 | <u>Press Release dated January 24, 2019 (furnished pursuant to Item 2.02).</u> |
|------|--------------------------------------------------------------------------------|

---

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Hilltop Holdings Inc.,  
a Maryland corporation

Date: January 24, 2019 By: /s/ COREY PRESTIDGE  
Name: Corey G. Prestidge  
Title: Executive Vice President,  
General Counsel & Secretary

---