**DEAN FOODS CO** Form 4 June 11, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **NEVARES HECTOR M** Issuer Symbol DEAN FOODS CO [DF] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner Other (specify Officer (give title **BOLIVIA 33 -- SUITE 303** 06/07/2013 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HATO REY, PR 00917 Person (City) (State) (Zip)

| (City)                 | (State)                              | Table Table                   | e I - Non-D      | erivative S             | ecurit    | ies Acq    | uired, Disposed o       | f, or Beneficial          | ly Owned              |
|------------------------|--------------------------------------|-------------------------------|------------------|-------------------------|-----------|------------|-------------------------|---------------------------|-----------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.<br>Transactio | 4. Securition(A) or Dis |           |            | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect |
| (Instr. 3)             |                                      | any                           | Code             | (Instr. 3, 4            | and 5     | )          | Beneficially            | (D) or                    | Beneficial            |
|                        |                                      | (Month/Day/Year)              | (Instr. 8)       |                         |           |            | Owned                   | Indirect (I)              | Ownership             |
|                        |                                      |                               |                  |                         |           |            | Following               | (Instr. 4)                | (Instr. 4)            |
|                        |                                      |                               |                  |                         | (4)       |            | Reported                |                           |                       |
|                        |                                      |                               |                  |                         | (A)       |            | Transaction(s)          |                           |                       |
|                        |                                      |                               | Code V           | Amount                  | or<br>(D) | Price      | (Instr. 3 and 4)        |                           |                       |
| Common<br>Stock        | 06/07/2013                           |                               | M                | 30,151                  | A         | \$<br>7.83 | 507,333 (1)             | D                         |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Ar Underlying Se (Instr. 3 and 4) |
|---|---|---|---|--|--|--|--------------------|--|
|   |   |   |   | Code V                                 | (A) (D)  | Date Exercisable   | Expiration<br>Date | Title  |
| Non-Qualified<br>Stock Option<br>(right to buy<br>NF702881) | \$ 7.83 (2)   | 06/07/2013                              |   | M                                      | 17,326<br>(2)  | 06/30/2003(3)  | 06/30/2013         | Common<br>Stock                                |
| Non-Qualified<br>Stock Option<br>(right to buy<br>TF700785) | \$ 7.83 (2)   | 06/07/2013                              |   | M                                      | 3,192<br>(2)   | 06/30/2003(3)  | 06/30/2013         | Common<br>Stock                                |
| Non-Qualified<br>Stock Option<br>(right to buy<br>VF702997) | \$ 7.83 (2)   | 06/07/2013                              |   | M                                      | 8,134<br>(2)   | 06/30/2003(3)  | 06/30/2013         | Common<br>Stock                                |
| Non-Qualified<br>Stock Option<br>(right to buy<br>VF703000) | \$ 7.83 (2)   | 06/07/2013                              |   | M                                      | 1,499<br>(2)   | 06/30/2003(3)  | 06/30/2013         | Common<br>Stock                                |

# **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
| Reporting Owner Name / Address                                 | Director      | 10% Owner | Officer | Other |  |  |
| NEVARES HECTOR M<br>BOLIVIA 33 SUITE 303<br>HATO REY, PR 00917 | X             |           |         |       |  |  |

## **Signatures**

Carman M. Callahan, Attorney-In-Fact 06/11/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 4,438 shares of common stock from restricted stock units that have vested, and the reporting person has elected to defer receipt until he is no longer a member of the Issuer's Board of Directors.

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- Due to the spin-off of a portion of the Issuer's ownership interest in The WhiteWave Foods Company on May 23, 2013, the reporting person's number of options and exercise price has been adjusted to preserve the pre-spin intrinsic value of the award.
- (3) The options are fully vested and immediately exercisable upon grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.