Edgar Filing: TECOGEN INC. - Form 4

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D. C. 20549 OMB APPROVAL Check this box if no longer section 16, Section 16, Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL Statement of changes section 16, Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Estimated average burden hours per response Statement of changes section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Statement of 1935 or Section 10(b). (Print or Type Responses) 1. Name and Address of Reporting Person. 10(b). 2. Issuer Name and Ticker or Trading Symbol TECOGEN INC. [TGEN] 5. Relationship of Reporting Person(s) to Issuer 5. Relationship of Reporting Person(s) to Issuer 45 FIRST AVENUE 01/06/2016	TECOGEN Form 4 January 22, 2										
Check this box if no longer subject to Section 16, Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section and Address of Reporting Person. I. Name and Address of Reporting Person. I. Check all applicable) I. Super Address of Reporting Person. I. Title of Security (Nonth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired. I. Title of Security (Nonth/Day/Year) (Nonth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired. So of or Beneficially Owned I. Title of Security (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Instr. 3) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/Year) (Nonth/Day/	FORM	1 4							-	PPROVAL	
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obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person 1 (b). (Print or Type Responses) 1. Name and Address of Reporting Person 2 (Last) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired Securities Acquired 1. Title of Security 2. Transaction Date 2A. Decented 1. Title of (Instr. 3) (Zip) Table I - Non-Derivative Securities Acquired Code (Instr. 3, 4 and 5) (A) (Month/Day/Year) 3. 4. Securities Acquired Security (A) (Instr. 4) (Instr. 4) (A) (Instr. 3) (Instr. 4) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired Security (Instr. 3) (Month/Day/Year) (City) (State) (City) (State)	Check this box if no longer subject to Section 16. Form 4 or								Estimated a burden hou	timated average rden hours per	
1. Name and Address of Reporting Person 1 HATSOPOULOS JOHN 2. Issuer Name and Ticker or Trading Symbol TECOGEN INC. [TGEN] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 45 FIRST AVENUE 01/06/2016 -X Director (Street) -X Director (Month/Day/Year) -X Officer (give title below) -X Officer (give title below) WALTHAM, MA 02451 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_ Form filed by More than One Reporting Person -Form Siled by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired Security (Instr. 3) 5. Amount of (Month/Day/Year) 6. Ownership Transaction(A) or Disposed of (D) any (Month/Day/Year) 5. Amount of Code V Amount 6. Amount of (D) Price 6. Ownership Form: Direct Indirect Indirect 1) 6. Ownership Convership Common 01/06/2016 G 593 770 D \$0 D	Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
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Stock	Common Stock	01/06/2016		G	593,770		\$ 0	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date		Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
HATSOPOULOS JOHN 45 FIRST AVENUE WALTHAM, MA 02451	Х	Х	Chief Executive Officer					
Signatures								
/s/ Bonnie Brown, attorney-in-fact	()1/22/2016						
<u>**</u> Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.