TRANS LUX Corp Form 8-K April 26, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 26, 2018

TRANS-LUX CORPORATION

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

of incorporation)

1-2257

(Commission

File Number)

13-1394750

(IRS Employer Identification No.)

135 East 57th Street, 14th Floor, New York, NY

10022

(zip code)

(Address of principal executive offices)

Registrant s telephone number, including area code: (800) 243-5544

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(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). o

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. o

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On April 26, 2018, Trans-Lux Corporation announced the appointment of Todd Dupee as the Company s Interim Chief Accounting Officer (Principal Financial Officer) while Jean-Marc Allain is on medical leave following a surgical procedure.

Mr. Dupee, 45, became Vice President of the Corporation in 2009, has been Controller since 2004 (except when he served as Chief Financial Officer and Interim Chief Financial Officer from December 3, 2012 to May 29, 2014) and has been with the Company since 1994.

Item 9.01. Financial Statements and Exhibits.

- (d) Exhibits
- 99.1 Press release of Trans-Lux Corporation dated April 26, 2018.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 26, 2018

TRANS-LUX CORPORATION

By:

/s/ Todd Dupee Name: Todd Dupee Title: Interim Chief Accounting Officer,

Vice President and Controller